

*Galois Actions and Geometry*

MSRI, October 11-15, 1999

**Fields of Definition and  
Families of Covers**

PIERRE DÈBES

**Université Lille 1**

**Part 1:** Fields of Definition? models of covers?

→ Descent Theory

→ Weil, Grothendieck et al.

← Regular Inverse Galois Problem

Typical question: If action of  $G_K$  leaves invariant the set of models of a given  $K_s$ -cover  $f \rightarrow K$  is called the *field of moduli* —, is there a model of  $f$  over  $K$ ?

**Part 2:** Moduli Spaces of covers

Existence of Families of Covers?

Typical question: Given a moduli space  $\mathcal{H}$  of covers, is there a family above  $\mathcal{H}$ ?

[Action of  $\pi_1(\mathcal{H})$  leaves invariant the set of local families above  $\mathcal{H}$  (defined above an étale cover).]

**Part 3:** Covers, Families and Gerbes

## Fields of definition of covers

**Category:** covers  $f : X \rightarrow B$  *a priori* defined over the separable closure  $K_s$  of a given field  $K$ ;

$B$  is a given algebraic variety defined over  $K$ .

**Main example:**

covers  $f : X \rightarrow \mathbb{P}^1$  defined over  $\overline{\mathbb{Q}}$

**Two situations:**

- $G$ -covers: Galois covers  $f$  given an isomorphism  $\text{Aut}(f) \simeq G$

- mere covers: not necessarily Galois covers, given without automorphisms

**$k$ -model of  $f$  ( $K \subset k \subset K_s$ ):**

$k$ -cover  $f_k : X_k \rightarrow B$  such that  $f_k \otimes_k K_s \underset{K_s}{\simeq} f$

## Some problems

*Problem 1:* If a  $K_s$ -cover  $f : X \rightarrow B$  is isomorphic to each of its conjugates  $f^\tau : X^\tau \rightarrow B$  ( $\tau \in G_K$ ), is it defined over  $K$ ? [No in general]

*Problem 2:* If a  $\overline{\mathbb{Q}}$ -cover  $f : X \rightarrow B$  is defined over each  $\mathbb{Q}_p$ , is it defined over  $\mathbb{Q}$ ?

*Problem 3:* An intersection of fields of definition need not be a field of definition.

## Field of moduli

The *field of moduli*  $K_m$  of a  $K_s$ -cover  $f : X \rightarrow B$  is the fixed field in  $K_s$  of the subgroup

$$M(f) = \{\tau \in G_K \mid f^\tau \underset{K_s}{\simeq} f\}$$

That is,  $K_m$  is the smallest subfield of  $K_s$  such that  $\text{Gal}(K_s/K_m)$  maps  $f$  to an isomorphic copy of itself.

**Basic observation:** The field of moduli  $K_m$  is contained in each field of definition  $k$  of  $f$ . Thus it is the smallest field of definition if it *is* a field of definition.

*Problem 1:* Is the field of moduli a field of definition?

## Weil's descent criterion

**Theorem** (Weil) — For each  $\tau \in \text{Gal}(K_s/K_m)$ , we have  $f \underset{K_s}{\cong} f^\tau$ , *i.e.*, there exists an isomorphism  $\chi_\tau : X \rightarrow X^\tau$  such that  $f^\tau \circ \chi_\tau = f$ .

Then  $K_m$  is a field of definition of  $f$  iff there exists such isomorphisms  $\chi_\tau$ s such that

$$\chi_{uv} = \chi_v^u \chi_u \quad (\text{cocycle condition})$$

→ first results:

*for mere covers of  $\mathbb{P}^1$* :  $K_m$  is a field of definition if

- $\text{Aut}(f) = \{1\}$  (Fried)
- $f : X \rightarrow \mathbb{P}^1$  is Galois (Coombes-Harbater)

*for  $G$ -covers of  $\mathbb{P}^1$* :  $K_m$  is a field of definition if

- $Z(G) = \{1\}$  (Belyi et al.)
- $G$  is abelian (—)

→ cohomological problem →  $H^2(K_m, \text{Aut}(f)) \dots$

## Cohomological approach

$$\begin{array}{ccc} k\text{-cover} & \longleftrightarrow & \text{representation} \\ f : X \rightarrow B & & \phi : \pi_1(B_k^*) \twoheadrightarrow G \subset S_d \\ \text{étale above } B^* & & \end{array}$$

for  $G$ -covers:  $\text{Nor}_{S_d}(G) \leftrightarrow G$  ;  $\text{Cen}_{S_d}(G) \leftrightarrow Z(G)$

**Theorem** (D. - Douai) — *Assume  $\lambda$  has some lifting  $\Lambda$ . Then there is, explicitly attached to  $\Lambda$ , a 2-cocycle*

$$\Omega_\Lambda \in H^2(K_m, Z(G))$$

*such that  $K_m$  is a field of definition of  $f$  iff*

$$\Omega_\Lambda \in \delta^1 (H^1(K_m, \text{Cen}_{S_d}(G)/Z(G)))$$

*where*

$$\delta^1 : H^1(K_m, \text{Cen}_{S_d}(G)/Z(G)) \rightarrow H^2(K_m, Z(G))$$

*is the connecting morphism associated with sequence*

$$1 \rightarrow Z(G) \rightarrow \text{Cen}_{S_d}(G) \rightarrow \text{Cen}_{S_d}(G)/Z(G) \rightarrow 1$$

for  $G$ -covers: the *iff* condition is that

$$\Omega_\Lambda \text{ vanishes in } H^2(K_m, Z(G))$$



## Consequences

- No obstruction to field of moduli being a field of definition
  - if  $Z(G) = \{1\}$
  - if  $G_{K_m}$  projective, *e.g.*  $\text{cd}(K_m) \leq 1$
  - for Galois covers of  $\mathbb{P}^1$
- The field of moduli of a cover is the intersection of its fields of definition.
- (For covers of  $\mathbb{P}^1$ ). There exists a field of definition  $K_d$  with degree  $[K_d : K_m]$  over the field of moduli  $K_m$  bounded as follows.

$$\text{(for } G\text{-covers):} \quad [K_d : K_m] \leq \frac{|G|}{|Z(G)|}$$

$$\text{(for mere covers):} \quad [K_d : K_m] \leq \frac{|\text{Nor}_{S_d} G|}{|\text{Cen}_{S_d} G|}$$

## More recent progress

**Data:**  $f : X \rightarrow \mathbb{P}^1$   $\overline{\mathbb{Q}}$ -cover of field of moduli  $\mathbb{Q}$

- $\mathbb{Q}$  need not be a field of definition.

(Coombes-Harbater / Couveignes-Granboulan)

- $f$  is defined over  $\mathbb{Q}_p$  for all but finitely many  $p$ .

[essentially because the map

$$H^2(\mathbb{Q}, Z(G)) \rightarrow \prod_p H^2(\mathbb{Q}_p, Z(G))$$

has values in the direct sum  $\coprod_p H^2(\mathbb{Q}_p, Z(G))$ ]

- $f$  is defined over  $\mathbb{Q}_p$  if
  - $p$  does not divide  $|G|$ , and
  - the branch points do not coalesce modulo  $p$ .

(D. - Harbater / Emsalem)

- (Local-global principle for  $G$ -covers  $f : X \rightarrow B$ ):  
 $f$  is defined over  $\mathbb{Q}$  iff  $f$  is defined over each  $\mathbb{Q}_p$ .

(D. / Douai)

## The local-global principle

$f : X \rightarrow \mathbb{P}^1$      $G$ -cover over  $\overline{\mathbb{Q}}$   
 defined over each  $\mathbb{Q}_p$

- The field of moduli  $K_m$  is contained in each  $\mathbb{Q}_p$ , thus equals  $\mathbb{Q}$ .
- Obstruction to  $\mathbb{Q}$  being a field of definition lies in  $H^2(\mathbb{Q}, Z(G))$ , more precisely in kernel of the map

$$H^2(\mathbb{Q}, Z(G)) \rightarrow \prod_p H^2(\mathbb{Q}_p, Z(G))$$

- This map is injective:
  - restrict to the case  $Z(G) = \mathbb{Z}/n$
  - from the Tate-Poitou duality theorem, the above kernel is in duality with the kernel of the map

$$H^1(\mathbb{Q}, \mu_n) \rightarrow \prod_p H^1(\mathbb{Q}_p, \mu_n)$$

or, equiv.     $\mathbb{Q}^*/(\mathbb{Q}^*)^n \rightarrow \prod_p \mathbb{Q}_p^*/(\mathbb{Q}_p^*)^n$

which is clearly injective.

## Further questions

- How “often” is the field of moduli a field of definition? Hurwitz spaces make this more precise: how big is the subset of a given Hurwitz space of points/covers defined over their f.o.m.?
  - Under assumptions of the local case result, does the cover  $f$  have a model over the field  $\mathbb{Q}^{tp}$  of algebraic totally  $p$ -adic numbers (and not just over  $\mathbb{Q}_p$ )?
  - Where do mysterious cocycle calculations come from?
- ⇒ need for more structure: moduli spaces, gerbes ...

## Families of covers

**Notation:** Given  $r > 2$ ,  $G$  and  $\mathbf{C} = (C_1, \dots, C_r)$

- $\mathcal{H}_G(\mathbf{C})$ : Hurwitz space of covers of  $\mathbb{P}^1$  with  $r$  branch points, group  $G$  and inertia invariant  $\mathbf{C}$
- $\mathcal{H}$ : an irreducible component of  $\mathcal{H}_G(\mathbf{C})$  defined over a field  $k$

**Main question:** Does there exist a Hurwitz family above  $\mathcal{H}$ , *i.e.* a finite flat morphism

$$\mathcal{F} : \mathcal{T} \rightarrow \mathcal{H} \times \mathbb{P}^1$$

with  $\mathcal{F} : \mathcal{T} \rightarrow \mathcal{H}$  smooth, projective and with irreducible generic fiber,

such that, for each  $h = [f] \in \mathcal{H}$ , the fiber cover

$$\mathcal{F} : \mathcal{T}_h \rightarrow \mathbb{P}^1$$

is isomorphic to the cover  $f$ ?

**Variants:** Hurwitz families of  $G$ -covers, with ordered branch points, etc.

→ more structure in definition...

### Observations:

- If  $\mathcal{H}$  is a given Hurwitz space, assumed irreducible and defined over  $k$ , then, for  $h = [f_h] \in \mathcal{H}$

residue field  $k(h)$  of  $h \in \mathcal{H} = \text{f.o.m. of } f_h$

If there is a family  $\mathcal{F}$  above  $\mathcal{H}$ ,  $f_h$  does have a model over its f.o.m.  $k(h)$ : namely the fiber cover  $\mathcal{F}_h$ .

- Families exist locally, for the complex topology (Fried), or for the étale topology [DeDoEm].

- If covers have no automorphism ( $\text{Cen}_{S_d}(G) = \{1\}$ ), local families can be glued to provide a global family above  $\mathcal{H}$ :  $\mathcal{H}$  is a *fine* moduli space.

- cohomological pb. →  $H^2(\pi_1(\mathcal{H}), \text{Cen}_{S_d}(G)) \dots$

## Cohomological approach

$$\begin{array}{ccc} k\text{-family} & \longleftrightarrow & \text{representation} \\ \mathcal{F} : \mathcal{T} \rightarrow \mathcal{H} \times \mathbb{P}^1 & & \Phi : \pi_1((\mathcal{H} \times \mathbb{P}^1)_k^*) \twoheadrightarrow G \subset S_d \\ \text{étale above } (\mathcal{H} \times \mathbb{P}^1)^* & & \end{array}$$

for  $G$ -covers:  $\text{Nor}_{S_d}(G) \leftrightarrow G$  ;  $\text{Cen}_{S_d}(G) \leftrightarrow Z(G)$

**Theorem** (D. - Douai - Emsalem) — *Assume  $\lambda$  has some lifting  $\Lambda$ . Then there is a 2-cocycle*

$$\Omega_\Lambda \in H^2(\pi_1(\mathcal{H}), Z(G))$$

*such that there is a family above  $\mathcal{H}$  iff*

$$\Omega_\Lambda \in \delta^1 (H^1(\pi_1(\mathcal{H}), \text{Cen}_{S_d}(G)/Z(G)))$$

*with*

$$\delta^1 : H^1(\pi_1(\mathcal{H}), \text{Cen}_{S_d}(G)/Z(G)) \rightarrow H^2(\pi_1(\mathcal{H}), Z(G))$$

*the connecting morphism associated with the sequence*

$$1 \rightarrow Z(G) \rightarrow \text{Cen}_{S_d}(G) \rightarrow \text{Cen}_{S_d}(G)/Z(G) \rightarrow 1$$

for  $G$ -covers: the *iff* condition is that

$$\Omega_\Lambda \text{ vanishes in } H^2(\pi_1(\mathcal{H}), Z(G))$$



## Consequences

- No obstruction to existence of family
  - above Hurwitz space  $\mathcal{H}$  if  $Z(G) = \{1\}$
  - above any affine  $\bar{k}$ -curve  $C \subset \mathcal{H}$
  - above  $\mathcal{H}_{\bar{k}}$ , in the situation of Galois covers with ordered branch points
- The function field  $\overline{\mathbb{Q}}(\mathcal{H})$  of the Hurwitz space  $\mathcal{H}$  is the intersection of all function fields of étale covers of  $\mathcal{H}$  over which there exists a family.
- (For covers with ordered branch points). There exists a family above an étale cover  $\tilde{\mathcal{H}} \rightarrow \mathcal{H}_{\overline{\mathbb{Q}}}$  whose degree  $D$  can be bounded as follows.

$$\text{(for } G\text{-covers):} \quad D \leq \frac{|G|}{|Z(G)|}$$

$$\text{(for mere covers):} \quad D \leq \frac{|\mathrm{Nor}_{S_d} G|}{|\mathrm{Cen}_{S_d} G|}$$

# Gerbes, Covers and Families

**Gerbe:** a fibered category  $\mathcal{G}$  above an étale site  $\mathcal{S}$ , satisfying the following conditions

1.  $\mathcal{G}$  is a prestack: given any open subset  $U \subset \mathcal{S}$  and two objects/sections  $x, y \in \mathcal{G}(U)$ ,  $\text{Hom}(x, y)$  is a sheaf. [local-global condition on morphisms]

2.  $\mathcal{G}$  is a stack: ...

[local-global condition on objects locally given with compatible isomorphisms]

3. Locally, *i.e.*, up to shrinking open subset  $U$ , the fiber  $\mathcal{G}(U)$  is non-empty.

4. Locally, any two objects in  $\mathcal{G}(U)$  are isomorphic.

**Patching data:**  $(U_i, x_i, \chi_{ij})_{i,j \in I}$  where:

- $(U_i)_{i \in I}$  is an open covering of  $S$ ,
- for  $i \in I$ ,  $x_i$  is an object of the category  $\mathcal{G}(U_i)$ ,
- for  $i, j \in I$ ,  $\chi_{ij}$  isomorphism of category  $\mathcal{G}(U_i \cap U_j)$  between restrictions to  $U_i \cap U_j$  of  $x_i$  and  $x_j$ .

$\mathcal{G}$  is **neutral** if global sections exist, *i.e.*  $\mathcal{G}(\mathcal{S}) \neq \emptyset$ .

## The gerbe $\mathcal{G}_f$ of models of a cover $f : X \rightarrow \mathbb{P}^1$

Etale site:	$\text{Spec}(K_m)_{\text{et}}$
Open subsets:	$U = \text{Spec}(E)/\text{Spec}(K_m)$ with $E/K_m$ finite Galois extension
Fiber $\mathcal{G}_f(U)$ :	Category of $E$ -models of $f$
Condition 1:	...
Condition 2:	Weil's descent criterion (cocycle condition)
Condition 3:	$f$ has a $E$ -model over suitably large Galois extension $E/K_m$
Condition 4:	Two models become isomorphic over suitably large Galois extension $E/K_m$
Patching data:	$K_m$ field of moduli of $f$
$\mathcal{G}_f$ neutral:	$K_m$ field of definition of $f$

## The Hurwitz gerbe $\mathcal{G}$

Etale site:	$\mathcal{H}_{\text{et}}$
Open subsets:	étale Galois cover $U = \tilde{\mathcal{H}} \rightarrow \mathcal{H}$
Fiber $\mathcal{G}(U)$ :	Category of families above $\tilde{\mathcal{H}}$
Condition 1:	...
Condition 2:	Grothendieck's faithfully flat descent theorem
Condition 3:	Hurwitz families exist locally above $\mathcal{H}$
Condition 4:	Hurwitz families above $\mathcal{H}$ are locally isomorphic
Patching data:	$\mathcal{H}$ moduli space
$\mathcal{G}_f$ neutral:	There exists a family above $\mathcal{H}$

## Applications of theory of gerbes

- Natural approach to previous problems:  
→ conceptual support to cocycle calculations
- **Theorem** (D. -Douai-Emsalem) — *With  $\mathcal{H}$  as above, if  $|\text{Cen}_{S_d}(G)|$  is prime to  $|\text{Tor}(H^3(\mathcal{H}(\mathbb{C}), \mathbb{Z}))|$ , then there exists a family above a Zariski open subset of  $\mathcal{H}_{\bar{k}}$ .*
- **Theorem** (D. -Douai-Emsalem / Wewers) — *Let  $\mathcal{H}$  be as above and  $h = [f]$  be a closed point of  $\mathcal{H}$ . The gerbe  $\mathcal{G}(f)$  of models of  $f$  is the pull-back of the Hurwitz gerbe  $\mathcal{G}$  along the map  $\text{Spec}(k(h)) \rightarrow \mathcal{H}$ .*

In general, there exists a specialization morphism

$$\text{Sp}_h : H^2(\mathcal{H}_{\text{et}}, \mathcal{L}) \rightarrow H^2(k(h)_{\text{et}}, \mathcal{L}(\mathcal{G}(f)))$$

What is the kernel of  $\prod_h \text{Sp}_h$ ?

If no family above  $\mathcal{H}$ , subset of points/covers  $h \in \mathcal{H}(\bar{k})$  not defined over their f.o.m.?

- *From  $p$ -adic to totally  $p$ -adic models.*

**Theorem** (D. -Douai) *Let  $f : X \rightarrow \mathbb{P}^1$  be a cover defined over  $\overline{\mathbb{Q}}$  with field of moduli  $\mathbb{Q}$ . If  $p$  does not divide  $|G|$  and branch points do not coalesce mod.  $p$ , then  $f$  has a model over  $\mathbb{Q}^{\text{tp}}$  (and not only over  $\mathbb{Q}_p$ ).*

**Proof.** Consider the gerbe  $\mathcal{G}(f)$  of models of  $f$ . It is a gerbe over  $\text{Spec}(\mathbb{Q})$ . From the local case result, this gerbe has sections over  $\text{Spec}(\mathbb{Q}_p)$ . From recent work of Moret-Bailly, the Pop/Moret-Bailly result on totally  $p$ -adic points on varieties extends to stacks and gerbes (under suitable conditions). Conclude that the gerbe  $\mathcal{G}(f)$  has sections over  $\text{Spec}(\mathbb{Q}^{\text{tp}})$ , that is, that  $f$  has a model over  $\mathbb{Q}^{\text{tp}}$ .

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