

A Serre-type theorem of the elliptic Lie algebras and superalgebras with rank more than or equal to two

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Abstract

In this paper, we give a finite number of defining relations for the elliptic Lie algebras and superalgebras with rank ≥ 2 .

Introduction

In 1985, K. Saito [S] introduced the notion of the *extended affine root systems*; we also call them the SEARS's (see also [A]). Let R be an SEARS. Let $\mathcal{V} := \mathbb{R}R$ and $\mathcal{V}^0 := \{v \in \mathcal{V} | s_\alpha(v) = v \text{ for all } \alpha \in R\}$, where s_α denotes the reflection with respect to an α . Let $m := \dim \mathcal{V}^0$ and $l := \dim \mathcal{V} - m$. We say that the m is the *nullity* of the R and say that the l is the *rank* of the R . The R is *reduced* if $\mathbb{R}\alpha \cap R = \{\alpha, -\alpha\}$ for all $\alpha \in R$. We notice that if $m = 0$, the R is a finite root system, and that if $m = 1$, the R is an affine root system. If $m = 2$, the R is called an *elliptic root system* (or ERS for short); see also Subsec. 1.3. (The notation \mathcal{V} is used only in Introduction.)

In 1997, B. Allison, S. Azam, S. Berman, Y. Gao and A. Pianzola [AABGP] introduced and studied root systems defined by different axioms from those of the SEARS's. In 2002, S. Azam [A] showed that there exists a natural one-to-one correspondence between their root systems and the SEARS's.

Let R be an ERS. Let $\tilde{\pi} : \mathcal{V} \rightarrow \mathcal{V}/\mathcal{V}^0$ be a natural projective map. The R is called *simply-laced* if $l \geq 2$ and $\tilde{\pi}(R)$ is a simply-laced finite root system. In 2000, K. Saito and D. Yoshii [SY] studied the Lie algebra \mathfrak{g}_R with a simply-laced ERS R , and gave a Serre-type theorem for \mathfrak{g}_R ; in other words, they gave a finite number of defining relations of \mathfrak{g}_R satisfied by Chevalley generators.

In this paper, for all the (not only reduced but also non-reduced) ERS's R with $l \geq 2$, we give a Serre-type theorem for a Lie algebra or superalgebra \mathfrak{g}_R having the property that the system formed by its real roots is isomorphic to the R (see Theorems 2.1 and 6.1). In the process of giving the Serre-type theorem, we also give a proof of the classification theorem for the above R 's (see Theorems 1.2 and 2.2). The proof of the classification theorem seems to be easier than that in [S] for the reduced marked ERS's (see below and

see Theorem 1.1); one of the reason is that we use the \mathfrak{g}_R in the proof. The \mathfrak{g}_R is not a Lie algebra but a Lie superalgebra if and only if the R is not reduced. (In the text, \mathfrak{g}_R shall be denoted as $\mathfrak{g}_{\mathcal{D}}$.)

Let R be an ERS. If there exists a one dimensional subspace $G_{\mathbb{R}}$ of \mathcal{V}^0 such that $G_{\mathbb{R}} \cap \mathbb{Z}R \neq \{0\}$ and $\pi(R)$ is a reduced affine root system, where $\pi : \mathcal{V} \rightarrow \mathcal{V}/G_{\mathbb{R}}$ is the natural projection, then we call the R the *reduced marked ERS*. (More precisely, in the text, we call the pair $(R \otimes_{\mathbb{R}} \mathbb{C}, G_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C})$ the reduced marked ERS (see Subsec. 1.3).) If R is an reduced marked ERS with $l \geq 2$, then the Serre-type theorem for the \mathfrak{g}_R has already been given by the author [Ya2] in 2004.

We also give universality theorems of the \mathfrak{g}_R with $l \geq 2$. (see Theorems 5.1 and 5.2). By one of them, we see that if the R satisfies the condition that there exists a basis $\{\delta, a\}$ of \mathcal{V}^0 such that $(\alpha + \mathcal{V}^0) \cap R = \alpha + \mathbb{Z}\delta + \mathbb{Z}a$ for all $\alpha \in R$, then the \mathfrak{g}_R is isomorphic to the universal central extension of the Lie (super)algebra $\mathfrak{g}_R^f \otimes \mathbb{C}[t_1^{\pm 1}, t_2^{\pm 1}]$, where \mathfrak{g}_R^f is a finite dimensional simple Lie (super)algebra whose root system is isomorphic to the $\tilde{\pi}(R)$, i.e., the \mathfrak{g}_R is the toroidal Lie (super)algebra (see [MEY]). We also treat quantum tori elliptic Lie algebras (see Subsec. 5.2).

1 Preliminary

1.1 Pre-elliptic base system

Let l be a fixed positive integer. Through out this paper, we assume $l \geq 2$. Let \mathcal{E} be an $l+4$ -dimensional \mathbb{C} -vector space. Let $J : \mathcal{E} \times \mathcal{E} \rightarrow \mathbb{C}$ be a non-degenerate symmetric bilinear form. Let $\mathcal{E}^{\times} := \{x \in \mathcal{E} | J(x, x) \neq 0\}$. If $x \in \mathcal{E}^{\times}$, we call x a *non-isotropic element*, let $x^{\vee} := \frac{2x}{J(x, x)}$ and define $s_x \in \text{GL}(\mathcal{E})$ by $s_x(y) = y - J(x^{\vee}, y)x$. Let $\{\alpha_0, \dots, \alpha_l, \Lambda_{\delta}, a, \Lambda_a\}$ be a basis of \mathcal{E} such that the $(l+1) \times (l+1)$ -matrix $A := (J(\alpha_i^{\vee}, \alpha_j))_{0 \leq i, j \leq l}$ is an affine type generalized Cartan matrix [K1], [K2], and such that $J(\Lambda_{\delta}, \alpha_i) = \delta_{i0}$, $J(\Lambda_{\delta}, \Lambda_{\delta}) = 0$, $J(a, \alpha_i) = 0$, $J(a, \Lambda_{\delta}) = 0$, $J(a, a) = 0$, $J(\Lambda_a, \alpha_i) = 0$, $J(\Lambda_a, a) = 1$ and $J(\Lambda_a, \Lambda_a) = 0$. The A is called $\mathbf{A}_l^{(1)}$ ($l \geq 2$), $\mathbf{B}_l^{(1)}$ ($l \geq 3$), $\mathbf{C}_l^{(1)}$ ($l \geq 2$), $\mathbf{D}_l^{(1)}$ ($l \geq 4$), $\mathbf{E}_l^{(1)}$ ($l = 6, 7, 8$), $\mathbf{F}_4^{(1)}$ ($l = 4$), $\mathbf{G}_2^{(1)}$ ($l = 2$), $\mathbf{A}_{2l}^{(2)}$ ($l \geq 2$), $\mathbf{A}_{2l-1}^{(2)}$ ($l \geq 3$), $\mathbf{D}_{l+1}^{(2)}$ ($l \geq 2$), $\mathbf{E}_6^{(2)}$ ($l = 4$) or $\mathbf{D}_4^{(3)}$ ($l = 2$). (See [K2, Tables 1-4] and [K1, §4.8 TABLE Aff 1,2,3].) Since we have assumed $l \geq 2$, A is neither $\mathbf{A}_1^{(1)}$ nor $\mathbf{A}_2^{(2)}$. Let $\Pi := \{\alpha_0, \dots, \alpha_l\}$. Let W be the subgroup of $\text{GL}(\mathcal{E})$ generated by s_{α} ($\alpha \in \Pi$), i.e., W is the affine Weyl group.

For a subset S of Π , let W_S be the subgroup of W generated by s_{α} ($\alpha \in S$). Let $l(w)$ be the length of $w \in W$ with respect to s_{α} ($\alpha \in \Pi$).

Lemma 1.1. *Let $\alpha, \beta \in \Pi$ and $w \in W$ be such that $w(\alpha) = \beta$ and $l(w) > 0$. Then there exists a $\gamma \in \Pi \setminus \{\alpha\}$ and a $w' \in W_{\{\alpha, \gamma\}}$ such that $w'(\alpha) \in \{\alpha, \gamma\}$ and $l(w') + l(w(w')^{-1}) = l(w)$.*

This can be proved by a well-known argument (see [J, Proof of Proposition 8.20]).

A function $f : \Pi \rightarrow \mathbb{C}$ is called W -invariant if $f(\alpha) = f(\beta)$ for every $(\alpha, \beta) \in \Pi \times \Pi$ with $\beta = w(\alpha)$ for some $w \in W$. By Lemma 1.1, we see the following.

Lemma 1.2. *Keep the notation as above. A function $f : \Pi \rightarrow \mathbb{C}$ is W -invariant if and only if $f(\alpha) = f(\beta)$ for every $(\alpha, \beta) \in \Pi \times \Pi$ with $J(\alpha^\vee, \beta) = J(\alpha, \beta^\vee) = -1$.*

Let $k : \Pi \rightarrow \mathbb{N}$ be a W -invariant function such that $\text{G.C.D.}\{k(\alpha) | \alpha \in \Pi\} = 1$. Let $g : \Pi \rightarrow 2^{\mathbb{Z}}$ be a W -invariant function, where $2^{\mathbb{Z}}$ is the power set of \mathbb{Z} , i.e., the set of the subsets of \mathbb{Z} . If $g(\alpha) = \emptyset$ for every α , g is also denoted by 0. We call a quintuple $\mathcal{D} = \mathcal{D}(\mathcal{E}, \Pi, a, k, g)$ of such \mathcal{E} , Π , a , k and g a *pre-elliptic base system* (PEBS for short). For $x \in \mathcal{E}$ and a subset B of \mathbb{C} , let $Bx := \{bx \in \mathcal{E} | b \in B\}$; moreover, for a subset X of \mathcal{E} , let $BX := \sum_{x \in X} Bx$. (If B is an empty set \emptyset , then $BX = \emptyset$.) For subsets S and T of \mathcal{E} , let $S + T := \{x + y \in \mathcal{E} | x \in S, y \in T\}$; if $T = \{x\}$, let $x + S := T + S$. (If $S = \emptyset$, $S + T = \emptyset$.)

Let \mathcal{D} be a PEBS. Let

$$(1.1) \quad R(k, g) := \bigcup_{w \in W} w \left(\bigcup_{\alpha \in \Pi} ((\alpha + \mathbb{Z}k(\alpha)a) \cup (2\alpha + g(\alpha)k(\alpha)a)) \right).$$

Then

$$(1.2) \quad R(k, g) \subset \left((\mathbb{Z}_+ \Pi + \mathbb{Z}a) \cup (\mathbb{Z}_- \Pi + \mathbb{Z}a) \right) \setminus \mathbb{Z}a,$$

where $\mathbb{Z}_\pm = \{n \in \mathbb{Z} | \pm n \geq 0\}$.

Lemma 1.3. *Keep the notation as above. Let S be a subset of Π . Let $\lambda \in (\mathbb{Z}S) \cap \mathcal{E}^\times$. Then there exists a $w \in W_S$ such that*

$$w(\lambda) \in \left(\bigcup_{\alpha \in S} \mathbb{Z}\alpha \right) \cup \left(\mathbb{Z}S \setminus (\mathbb{Z}_+ S \cup \mathbb{Z}_- S) \right).$$

Proof. By the definition of Π , there exists a $\kappa \in \mathbb{C} \setminus \{0\}$ such that $\kappa J(\alpha_i, \alpha_j) \in \mathbb{R}$ ($0 \leq i, j \leq l$), and $\kappa J(\alpha_i, \alpha_i) > 0$ ($0 \leq i \leq l$). Then the symmetric bilinear form $(\kappa J)_{|\mathbb{R}\Pi \times \mathbb{R}\Pi} : \mathbb{R}\Pi \times \mathbb{R}\Pi \rightarrow \mathbb{R}$ is positive definite. For $\mu = \sum_{\alpha \in \Pi} b_\alpha \alpha \in \mathbb{R}\Pi$ with $b_\alpha \in \mathbb{R}$, let $ht(\mu) := \sum_{\alpha \in \Pi} b_\alpha \in \mathbb{R}$.

Let λ be as in the statement. We may assume $\lambda \in (\mathbb{Z}_+ S \cup \mathbb{Z}_- S) \setminus \{0\}$. Moreover we may assume $\lambda \in \mathbb{Z}_+ S$. We use an induction on $ht(\lambda) \in \mathbb{N}$. If $ht(\lambda) = 1$, then $\lambda \in S$. We assume $ht(\lambda) > 1$. We may assume $\lambda \notin \mathbb{N}\alpha$ for any $\alpha \in S$. Since $\lambda \in \mathcal{E}^\times$, $\kappa J(\lambda, \lambda) > 0$. Hence there exists an $\alpha \in S$ such that $\kappa J(\lambda, \alpha) > 0$. Notice that $s_\alpha(\lambda) = \lambda - \frac{2\kappa J(\lambda, \alpha)}{\kappa J(\alpha, \alpha)}\alpha$. If $s_\alpha(\lambda) \notin \mathbb{Z}S \setminus (\mathbb{Z}_+ S \cup \mathbb{Z}_- S)$, then $s_\alpha(\lambda) \in \mathbb{Z}_+ S$ and $ht(s_\alpha(\lambda)) < ht(\lambda)$. This completes the

proof. □

For a subset S of Π , let

$$R(k, g)_S := R(k, g) \cap ((\oplus_{\alpha \in S} \mathbb{C}\alpha) \oplus \mathbb{C}a).$$

Lemma 1.4. *Keep the notation as above. Then*

$$R(k, g)_S = \bigcup_{w \in W_S} w \left(\bigcup_{\alpha \in S} ((\alpha + \mathbb{Z}k(\alpha)a) \cup (2\alpha + g(\alpha)k(\alpha)a)) \right).$$

In particular, for $\alpha \in \Pi$, we have

$$R(k, g)_{\{\alpha\}} = \bigcup_{\epsilon \in \{\pm 1\}} ((\epsilon\alpha + \mathbb{Z}k(\alpha)a) \cup (2\epsilon\alpha + g(\alpha)k(\alpha)a)).$$

Proof. If $|S| = 1$, then the lemma follows immediately from the definition. From this, together with (1.2) and Lemma 1.3, the lemma for a general S follows; notice that $w(a) = a$ for all $w \in W_S$. □

1.2 Elliptic base systems and quasi-elliptic base systems

Here we introduce the notions of an elliptic base system (EBS for short) and an quasi-elliptic base system (QEBS for short). In Theorem 2.2, we shall show that these notions are equivalent. In Theorem 1.2, we shall show that an EBS can be regarded as a ‘base system’ of the elliptic root system in the sense of [S].

Let \mathcal{D} be an PEBS with $l \geq 2$. For $\alpha \in \Pi$, Let

$$\Pi_c(\alpha) := \{\beta \in \Pi \mid \beta \neq \alpha, J(\beta, \alpha) \neq 0\}.$$

Define a subset Π^B of Π by

$$\Pi^B := \{\alpha \in \Pi \mid \forall \beta \in \Pi_c(\alpha), J(\alpha^\vee, \beta) = -2\}.$$

We call \mathcal{D} an *an quasi elliptic base system* (QEBS for short) if the following hold.

- (1) If $\alpha \in \Pi$, $\beta \in \Pi_c(\alpha)$ and $J(\beta^\vee, \alpha) = -1$, then $\frac{k(\beta)}{k(\alpha)} \in \mathbb{Z}$ and $J(\alpha^\vee, \beta) \frac{k(\alpha)}{k(\beta)} \in \mathbb{Z}$.
- (2) $g(\alpha) = \emptyset$ if $\alpha \notin \Pi^B$.
- (3) If $\alpha \in \Pi^B$ and $\beta \in \Pi_c(\alpha)$, then $g(\alpha) \frac{k(\alpha)}{k(\beta)} = \emptyset, \mathbb{Z}, 2\mathbb{Z}$ or $2\mathbb{Z} + 1$.

We call a PEBS \mathcal{D} an *elliptic base system* (EBS for short) if the following holds.

$$\forall \alpha \in R(k, g), \quad s_\alpha(R(k, g)) = R(k, g).$$

Lemma 1.5. *Let \mathcal{D} a PEBS with $l \geq 2$. If \mathcal{D} is an EBS, then it is also a QEBS.*

Proof. The axiom (1) follows from Lemma 1.4 and the following (c.f. [S, Proof of (6.1) Assertion]).

$$\begin{cases} s_\alpha s_{\alpha+mk(\alpha)a}(\beta) = \beta + mJ(\alpha^\vee, \beta)k(\alpha)a, \\ s_\beta s_{\beta+mk(\beta)a}(\alpha) = \alpha + mk(\beta)a \end{cases}$$

for $m \in \mathbb{Z}$.

If $\beta \in \Pi_c(\alpha)$, then $\mathbb{Z} \ni J((2\alpha)^\vee, \beta) = \frac{J(\alpha^\vee, \beta)}{2}$. Hence, if $g(\alpha) \neq \emptyset$, then $\alpha \in \Pi^B$, which implies the axiom (2).

Let $\alpha \in \Pi^B$. Assume $g(\alpha) \neq \emptyset$. Let $n \in \mathbb{Z}$ be such that $2\alpha + nk(\alpha)a \in R(k, g)$. Then

$$s_\alpha s_{\alpha \pm k(\alpha)a}(2\alpha + nk(\alpha)a) = 2\alpha + (n \mp 4)k(\alpha)a$$

and

$$s_\alpha s_{2\alpha + nk(\alpha)a}(2\alpha + nk(\alpha)a) = 2\alpha - nk(\alpha)a.$$

Hence $g(\alpha) = \mathbb{Z}, 2\mathbb{Z}, 2\mathbb{Z} + 1, 4\mathbb{Z}$ or $4\mathbb{Z} + 2$. Let $\beta \in \Pi_c(\alpha)$. Then

$$s_\beta s_{\beta+mk(\beta)a}(2\alpha + nk(\alpha)a) = 2\alpha + (nk(\alpha) - 2mk(\beta))a$$

Hence $g(\alpha) = \mathbb{Z}, 2\mathbb{Z}$ or $2\mathbb{Z} + 1$ if $k(\beta) = k(\alpha)$. Moreover

$$s_\alpha s_{2\alpha + nk(\alpha)a}(\beta + mk(\beta)a) = \beta + (mk(\beta) - nk(\alpha))a.$$

Hence $g(\alpha) = 2\mathbb{Z}, 4\mathbb{Z}$ or $4\mathbb{Z} + 2$ if $k(\beta) = 2k(\alpha)$. This implies the axiom (3) and completes the proof. \square

Converse of Lemma 1.5 shall be given in Theorem 2.2.

If $\mathcal{D}(\mathcal{E}, \Pi, a, k, 0)$ is a QEBS, i.e., $g = 0$, then it is called an *special* QEBS (SQEBS for short).

1.3 Elliptic root systems

Keep the notation in §1. Notice that $l \geq 2$. For a subset S of \mathcal{E} , let $S^\perp := \{x \in \mathcal{E} | \forall y \in S, J(x, y) = 0\}$. Following [S], we say that a subset R of \mathcal{E} is an *elliptic root system* (ERS for short) of rank l if it satisfies the following.

$$(1.3) \quad \forall x, \forall y \in \mathbb{R}R, \quad J(x, x)J(y, y) \in \mathbb{R}_+,$$

$$(1.4) \quad \dim_{\mathbb{C}}(\mathbb{C}R \cap R^{\perp}) = 2,$$

$$(1.5) \quad \dim_{\mathbb{C}} \mathbb{C}R = l + 2 = \text{rank}_{\mathbb{Z}} \mathbb{Z}R,$$

$$(1.6) \quad \forall \alpha \in R, \quad s_{\alpha}(R) = R,$$

$$(1.7) \quad \forall \alpha, \forall \beta \in R, \quad J(\alpha^{\vee}, \beta) \in \mathbb{Z},$$

$$(1.8) \quad \text{If } R = R_1 \cup R_2, \quad R_2 \subset (R_1)^{\perp} \text{ for some } R_1, R_2 \subset R, \text{ then } R_1 \neq \emptyset \text{ or } R_2 \neq \emptyset,$$

where $\mathbb{R}_+ = \{x \in \mathbb{R} | x \geq 0\}$.

Let R be an ERS. We call the \mathcal{E} for the R the *base space*. A one dimensional subspace G of $\mathbb{C}R \cap R^{\perp}$ is called a *marking line* if $G \cap \mathbb{Z}R \neq \{0\}$. The pair (R, G) is called a *marked elliptic root system* (MERS for short). An MERS (R, G) is called a *reduced marked elliptic root system* (RMERS for short) if

$$(1.9) \quad \forall \alpha, \forall \beta \in R, \quad 2\alpha - \beta \notin G.$$

By [S], we have the following.

Theorem 1.1 ((6.4) of [S]). *If (R, G) be an RMERS, then there exists an SQEBS $\mathcal{D}(\mathcal{E}, \Pi, a, k, 0)$ such that $R = R(k, 0)$, $G = \mathbb{C}a$ and \mathcal{E} is the base space of R . If $\mathcal{D}(\mathcal{E}, \Pi, a, k, 0)$ is an SQEBS, then $(R(k, 0), \mathbb{C}a)$ is an RMERS; in particular, $\mathcal{D}(\mathcal{E}, \Pi, a, k, 0)$ is an EBS.*

In [S], we do not need the assumption $l \geq 2$ for Theorem 1.1.

Theorem 1.2. (1) *If \mathcal{D} is an EBS, then $(R(k, g), \mathbb{C}a)$ is an MERS.*

(2) *Let (R, G) be an MERS. Then there exists an EBS \mathcal{D} such that $R = R(k, g)$, $G = \mathbb{C}a$ and \mathcal{E} is the base space of R .*

Proof. The statement (1) is clear. We prove the statement (2). Let

$$R' := \{\alpha \in R \mid \frac{\alpha}{2} \notin R + G\}.$$

Let $R'' := R \setminus R'$. We have

$$(1.10) \quad \frac{1}{2}R'' \subset R' + G$$

because, if $\alpha \in R''$ is such that $\frac{\alpha}{2} \notin (R' + G)$, then $\frac{\alpha}{4} \in R + G$ and $J(\alpha^\vee, \frac{\alpha}{4}) = \frac{1}{2} \notin \mathbb{Z}$, contradiction.

We show

$$(1.11) \quad \mathbb{Z}R' = \mathbb{Z}R.$$

Clearly $\mathbb{Z}R' \subset \mathbb{Z}R$ holds. Let $\beta \in R''$. By (1.10), $\beta = 2\alpha + x$ for some $\alpha \in R'$ and some $x \in G$. Notice that

$$(1.12) \quad \forall \gamma \in R, \sigma_\gamma(R') = R' \quad \text{and} \quad \sigma_\gamma(R'') = R''.$$

It follows that $R' \ni \sigma_\alpha \sigma_\beta(\alpha) = \sigma_\alpha(\alpha - \beta) = -\alpha - (\beta - 4\alpha) = 3\alpha - \beta = \alpha - x$. Hence $x \in \mathbb{Z}R'$. Hence $\mathbb{Z}R \subset \mathbb{Z}R'$, as desired.

By (1.11), we see that (R', G) is an RMERS whose base space is \mathcal{E} . By Theorem 1.1, there exists an SQEBS $\mathcal{D}(\mathcal{E}, \Pi, a, k, 0)$ such that $R(k, 0) = R'$ and $G = \mathbb{C}a$. It follows from Lemma 1.4 that

$$(1.13) \quad (\alpha + G) \cap R = (\alpha + G) \cap R' = (\alpha + G) \cap R(k, 0) = \alpha + \mathbb{Z}k(\alpha)a$$

for $\alpha \in \Pi$. To complete the proof, it suffices to show that

$$\forall \alpha \in \Pi, R'' \cap (2\alpha + G) \subset 2\alpha + \mathbb{Z}k(\alpha)a.$$

Let $\beta \in R'' \cap (2\alpha + G)$. Let $x := \beta - 2\alpha$. Then $\sigma_\alpha \sigma_\beta(\alpha) = 3\alpha - \beta = \alpha - x$. By (1.13), we have $x \in \mathbb{Z}k(\alpha)a$, as desired. \square

2 Elliptic algebras and superalgebras

2.1 Definition with generators and relations

Let \mathcal{D} be a QEBS with $l \geq 2$. For $\alpha \in \Pi$, let

$$c(\alpha) := \begin{cases} 2 & \text{if } g(\alpha) = \mathbb{Z} \text{ or } 2\mathbb{Z} + 1, \\ 1 & \text{otherwise,} \end{cases}$$

and let

$$\alpha^* := c(\alpha)\alpha + k(\alpha)a \in \mathcal{E}.$$

For $\alpha \in R(k, g)$, let

$$p(\alpha) := \begin{cases} 1 & \text{if } 2\alpha \in R(k, g), \\ 0 & \text{otherwise.} \end{cases}$$

Let

$$\mathcal{A} := \{(\alpha, \beta, y) \in \Pi \times \Pi \times \mathbb{N} \mid \alpha \neq \beta, J(\alpha, \beta) \neq 0, k(\alpha)y = k(\beta)\}.$$

Let

$$\mathcal{B} := \bigcup_{\alpha \in \Pi} \{\alpha, -\alpha, \alpha^*, -\alpha^*\}.$$

For $\mu, \nu \in R(k, g)$ with $\nu \neq \mu$, let

$$x_{\mu, \nu} := \begin{cases} 1 - J(\mu^\vee, \nu) & \text{if } \mu, \nu \in \mathcal{B}, \mu \neq \nu \text{ and } J(\mu^\vee, \nu) < 0, \\ 0 & \text{if } \mu, \nu \in \mathcal{B}, \mu \neq \nu \text{ and } J(\mu^\vee, \nu) \geq 0. \end{cases}$$

Keep the notation as above. Let $\mathfrak{g}_{\mathcal{D}} = \mathfrak{g}_{\mathcal{D}(\mathcal{E}, \Pi, a, k, g)}$ be the Lie superalgebra defined by generators

$$(2.1) \quad h_\sigma (\sigma \in \mathcal{E}), E_\mu (\mu \in \mathcal{B})$$

with parities

$$(2.2) \quad p(h_\sigma) = 0, p(E_\mu) = p(\mu)$$

and defining relations

$$(2.3) \quad \left\{ \begin{array}{ll} xh_\sigma + yh_\tau = h_{x\sigma+y\tau} & \text{if } x, y \in \mathbb{C} \text{ and } \sigma, \tau \in \mathcal{E}, \\ [h_\sigma, h_\tau] = 0 & \text{if } \sigma, \tau \in \mathcal{E}, \\ [h_\sigma, E_\mu] = J(\sigma, \mu)E_\mu & \text{if } \sigma \in \mathcal{E} \text{ and } \mu \in \mathcal{B}, \\ [E_\mu, E_{-\mu}] = h_{\mu^\vee} & \text{if } \mu \in \mathcal{B}, \\ (\text{ad}E_\mu)^{x_{\mu, \nu}} E_\nu = 0 & \text{if } \mu, \nu \in \mathcal{B} \text{ and } \mu \neq \nu, \\ c(\alpha)(\text{ad}E_{\alpha^*})^y E_\beta = (\text{ad}E_\alpha)^{c(\alpha)y} E_{\beta^*} & \text{if } (\alpha, \beta, y) \in \mathcal{A}, \\ c'(\alpha)(\text{ad}E_{-\alpha^*})^y E_{-\beta} = (\text{ad}E_{-\alpha})^{c(\alpha)y} E_{-\beta^*} & \text{if } (\alpha, \beta, y) \in \mathcal{A}, \\ (\text{ad}E_\alpha)^i (\text{ad}E_{\alpha^*})^{y-i} E_\beta = 0 & \text{if } (\alpha, \beta, y) \in \mathcal{A} \text{ and } 1 \leq i \leq y-1, \\ (\text{ad}E_{-\alpha})^i (\text{ad}E_{-\alpha^*})^{y-i} E_{-\beta} = 0 & \text{if } (\alpha, \beta, y) \in \mathcal{A} \text{ and } 1 \leq i \leq y-1, \end{array} \right.$$

where $c'(\alpha) = (-1)^{c(\alpha)+1}c(\alpha)$.

For $\mu \in \mathcal{E}$, let $\mathfrak{g}_{\mathcal{D}, \mu} := \{X \in \mathfrak{g}_{\mathcal{D}} \mid \forall h_\sigma, [h_\sigma, X] = J(\sigma, \mu)X\}$. Define the sub Lie superalgebra $\mathfrak{h}_{\mathcal{D}}$ of $\mathfrak{g}_{\mathcal{D}}$ by $\mathfrak{h}_{\mathcal{D}} := \{h_\sigma \in \mathfrak{g}_{\mathcal{D}, 0} \mid \sigma \in \mathcal{E}\}$.

Lemma 2.1. *Keep the notation as above. Then $h_\sigma \neq 0$ for $\sigma \in \mathcal{E} \setminus \{0\}$. In particular, $\dim \mathfrak{h}_{\mathcal{D}} = l + 4$.*

Proof of the lemma shall be given in Subsec. 4.2.

2.2 Main theorem

We see that there exists a unique $\delta \in \mathbb{Z}_+\Pi$ such that

$$\mathbb{Z}\delta = \{\lambda \in \mathbb{Z}\Pi \mid J(\lambda, \lambda) = 0\}.$$

Let $\mathbb{Z}^{2'} := \mathbb{Z}^2 \setminus \{(0, 0)\}$.

Theorem 2.1. *Let $\mathcal{D} = \mathcal{D}(\mathcal{E}, \Pi, a, k, g)$ be a QEBS with $l \geq 2$. Then we have*

$$\mathfrak{g}_{\mathcal{D}} = \mathfrak{h}_{\mathcal{D}} \bigoplus \left(\bigoplus_{\nu \in R(k, g)} \mathfrak{g}_{\mathcal{D}, \nu} \right) \bigoplus \left(\bigoplus_{(m, n) \in \mathbb{Z}^{2'}} \mathfrak{g}_{\mathcal{D}, m\delta + na} \right).$$

Moreover $\mathfrak{h}_{\mathcal{D}} = \mathfrak{g}_{\mathcal{D}, 0}$, and $\dim \mathfrak{g}_{\mathcal{D}, \alpha} = 1$ for all $\alpha \in R(k, g)$.

Proof of the theorem shall be given in Subsec. 3.2.

From now until the end of Subsec. 2.2, we suppose that we have proved Theorem 2.1. Let $\nu \in R(k, g)$. Let $E'_{\pm\nu} \in \mathfrak{g}_{\mathcal{D}, \pm\nu}$ be such that $[E'_{\nu}, E'_{-\nu}] = h_{\nu}$. By Theorem 2.1, $E'_{\pm\nu}$ are locally nilpotent. Hence we can define $n_{\nu} \in \text{Aut}(\mathfrak{g}_{\mathcal{D}})$ by

$$(2.4) \quad n_{\nu} = \begin{cases} \exp \text{ad} E'_{\nu} \exp \text{ad}(-E'_{-\nu}) \exp \text{ad} E'_{\nu} & \text{if } p(\nu) = 0, \\ \exp(\frac{1}{4} \text{ad}[E'_{\nu}, E'_{\nu}]) \exp(\frac{1}{4} \text{ad}[E'_{-\nu}, E'_{-\nu}]) \exp(\frac{1}{4} \text{ad}[E'_{\nu}, E'_{\nu}]) & \text{if } p(\nu) = 1. \end{cases}$$

Theorem 2.2. *Let \mathcal{D} be a PEBS with $l \geq 2$. Then \mathcal{D} is an EBS if and only if it is a QEBS.*

Proof. The ‘only-if’-part follows from Lemma 1.5. Here we only prove the ‘if’-part. Recall n_{ν} ($\nu \in R(k, g)$) from (2.4). Notice that $n_{\nu}(\mathfrak{g}_{\mathcal{D}, \lambda}) = \mathfrak{g}_{\mathcal{D}, s_{\nu}(\lambda)}$. Then, by Theorem 2.1, we see that \mathcal{D} is an EBS. \square

3 Proof of Theorem 2.1

In this section, we suppose that we have proved Lemma 2.1.

3.1 Rank one and two subsystems

Let S be a finite subset of $R(k, g)$. Assume that the elements of S are linearly independent and that the square matrix $A_S := (J(\alpha^{\vee}, \beta))_{\alpha, \beta \in S}$ is an affine type generalized Cartan matrix in the sense of [K1, §4.8]. Then we call the S the *affine type subset* of $R(k, g)$. Let

EW_S be the subgroup of $GL(\mathcal{E})$ generated by s_μ ($\mu \in S$). Let $S^{\text{odd}} := \{\alpha \in S | p(\alpha) = 1\}$ and let

$$R(k, g)^S := \bigcup_{w \in EW_S} w \left(\bigcup_{\alpha \in S \setminus S^{\text{odd}}} \{\alpha\} \bigcup \bigcup_{\alpha \in S^{\text{odd}}} \{\alpha, 2\alpha\} \right).$$

Then we see that $R(k, g)^S$ is the affine type (real) root system with the base S ; $R(k, g)^S$ is reduced if and only if $S^{\text{odd}} = \emptyset$. For the pair (A_S, S^{odd}) of the above A_S and S^{odd} , we define the the Dynkin diagram $\Gamma(A_S, S^{\text{odd}})$ in the same manner as in [K2]. If $\Gamma(A_S, S^{\text{odd}})$ is called \mathbf{X} in the tables [K2, Tables 1-4], we say that the name of S is \mathbf{X} . The following two lemmas follow from Lemma 1.4 and the well-known fact [M, Appendixes 1-2].

Lemma 3.1. *Let \mathcal{D} be a QEBS with $l \geq 2$. Let $\alpha \in \Pi$. Then $\{\alpha, -\alpha^*\}$ is an affine type subset of $R(k, g)$, and we have $R(k, g)_{\{\alpha\}} = R(k, g)^{\{\alpha, -\alpha^*\}}$.*

The name \mathbf{X} of the $\{\alpha, -\alpha^*\}$ is given in the Table below.

$g(\alpha)$	\emptyset	$2\mathbb{Z} + 1$	\mathbb{Z}	$2\mathbb{Z}$	$4\mathbb{Z} + 2$	$4\mathbb{Z}$
\mathbf{X}	$\mathbf{A}_1^{(1)}$	$\mathbf{A}_2^{(2)}$	$\mathbf{B}^{(1)}(0, 1)$	$\mathbf{C}^{(2)}(2)$	$\mathbf{A}^{(4)}(0, 2)$	$\mathbf{A}^{(4)}(0, 2)$

TABLE 1

Lemma 3.2. *Let \mathcal{D} be a QEBS with $l \geq 2$. Let $\alpha, \beta \in \Pi$ be such that $J(\alpha, \beta^\vee) = -1$. Let*

$$(3.1) \quad \gamma := \begin{cases} s_\alpha(-\beta^*) & \text{if } k(\alpha) = k(\beta), 1 \leq -J(\alpha^\vee, \beta) \leq 2 \text{ and } g(\alpha) = \emptyset \text{ or } 2\mathbb{Z}, \\ s_\beta s_\alpha(-\beta^*) & \text{if } J(\alpha^\vee, \beta) = -3 \text{ and } k(\alpha) = k(\beta), \\ s_\alpha s_\beta(-\alpha^*) & \text{if } 3k(\alpha) = k(\beta), \\ s_\beta(-\alpha^*) & \text{otherwise.} \end{cases}$$

Then $\{\alpha, \beta, \gamma\}$ is an affine subset of $R(k, g)$ and we have $R(k, g)_{\{\alpha, \beta\}} = R(k, g)^{\{\alpha, \beta, \gamma\}}$.

The name \mathbf{Y} of the $\{\alpha, \beta, \gamma\}$ is given in the following table.

\mathbf{Y}	γ	$J(\alpha^\vee, \beta)$	$k(\beta)/k(\alpha)$	$g(\alpha)$
$\mathbf{A}_2^{(1)}$	$s_\alpha(-\beta^*)$	-1	1	\emptyset
$\mathbf{C}_2^{(1)}$	$s_\alpha(-\beta^*)$	-2	1	\emptyset
$\mathbf{G}_2^{(1)}$	$s_\beta s_\alpha(-\beta^*)$	-3	1	\emptyset
$\mathbf{D}_3^{(2)}$	$s_\beta(-\alpha^*)$	-2	2	\emptyset
$\mathbf{D}_4^{(3)}$	$s_\alpha s_\beta(-\alpha^*)$	-3	3	\emptyset
$\mathbf{A}_4^{(2)}$	$s_\beta(-\alpha^*)$	-2	1	$2\mathbb{Z} + 1$
$\mathbf{B}^{(1)}(0, 2)$	$s_\beta(-\alpha^*)$	-2	1	\mathbb{Z}
$\mathbf{A}^{(2)}(0, 3)$	$s_\alpha(-\beta^*)$	-2	1	$2\mathbb{Z}$
$\mathbf{C}^{(2)}(3)$	$s_\beta(-\alpha^*)$	-2	2	$2\mathbb{Z}$
$\mathbf{A}^{(4)}(0, 4)$	$s_\beta(-\alpha^*)$	-2	2	$4\mathbb{Z} + 2$ or $4\mathbb{Z}$

TABLE 2

3.2 Embedded rank two affine (super)algebras

Let \mathcal{D} be a QEBS with $l \geq 2$. For $\mu \in \mathcal{B}$, we define $n_\mu \in \text{Aut}(\mathfrak{g}_{\mathcal{D}})$ in the same way as in (2.4) with $E_{\pm\mu}$ in place of $E'_{\pm\mu}$.

Lemma 3.3. *Keep the notation as in Lemma 3.2. Let $S := \{\alpha, \beta, \gamma\}$. If γ is defined as $s_{\nu_1} \cdots s_{\nu_r}(-\mu^*)$ in (3.1), we let*

$$(3.2) \quad E_{\pm\gamma} := n_{\nu_1} \cdots n_{\nu_r}(E_{\mp\mu^*}).$$

Then we have

$$(3.3) \quad \begin{cases} (\text{ad}E_{\pm\mu})^{1-J(\mu^\vee, \nu)} E_{\pm\nu} = 0 & \text{if } \mu, \nu \in S \text{ with } \mu \neq \nu, \\ [E_\mu, E_{-\nu}] = \delta_{\mu\nu} h_{\mu^\vee} & \text{if } \mu, \nu \in S. \end{cases}$$

Proof. If the name of S is neither $\mathbf{A}_4^{(2)}$ nor $\mathbf{B}(0, 2)^{(1)}$, the equalities (3.3) is proved in a similar way to [Ya2, §2.3]. We assume that the name of S is $\mathbf{A}_4^{(2)}$ or $\mathbf{B}(0, 2)^{(1)}$. It is clear that $[E_\gamma, E_{-\gamma}] = h_{s_\beta(-\alpha^*)^\vee} = h_{\gamma^\vee}$. We have

$$E_\gamma = n_\beta(E_{-\alpha^*}) = 2^{-1}[E_{-\beta}, [E_{-\beta}, E_{-\alpha^*}]] = 4^{-1}[E_{-\beta}, [E_{-\alpha}, [E_{-\alpha}, E_{-\beta^*}]]].$$

It is clear that $[E_\gamma, E_{-\beta}] = 0$ and $(\text{ad}E_\beta)^3 E_\gamma = 0$. We have

$$\begin{aligned} [E_\gamma, E_{-\alpha}] &= 4^{-1}[[E_{-\beta}, E_{-\alpha}], [E_{-\alpha}, [E_{-\alpha}, E_{-\beta^*}]]] \\ &= 2^{-1}[[E_{-\beta}, E_{-\alpha}], [E_{-\beta}, E_{-\alpha^*}]] \\ &= -2^{-1}n_\beta([E_{-\beta}, E_{-\alpha}], E_{-\alpha^*}) \\ &= -2^{-1}n_\beta([E_{-\beta}, E_{-\alpha^*}], E_{-\alpha}) \\ &= 4^{-1}n_\beta([E_{-\alpha}, [E_{-\alpha}, E_{-\beta^*}]], E_{-\alpha}) = 0 \end{aligned}$$

and

$$\begin{aligned}
[E_\gamma, E_\alpha] &= 4^{-1}[E_{-\beta}, \{(-1)^{p(\alpha)}(2 + J(\alpha^\vee, \beta)) + J(\alpha^\vee, \beta)\}[E_{-\alpha}, E_{-\beta^*}]] \\
&= -2^{-1}[E_{-\beta}, [E_{-\alpha}, E_{-\beta^*}]] \\
&= 2^{-1}[[E_{-\alpha}, E_{-\beta}], E_{-\beta^*}] \\
&= -4^{-1}n_\alpha([E_{-\beta}, [E_{-\alpha}, [E_{-\alpha}, E_{-\beta^*}]]) \\
&= -2^{-1}n_\alpha([E_{-\beta}, [E_{-\beta}, E_{-\alpha^*}]] = 0.
\end{aligned}$$

Thus we get the equalities (3.3); the other equalities than the above ones can be proved similarly. \square

For a subset S of Π , let \mathfrak{g}_D^S be the subalgebra of \mathfrak{g}_D generated by h_σ ($\sigma \in \mathcal{E}$) and $E_\mu, E_{-\mu}, E_{\mu^*}, E_{-\mu^*}$ ($\mu \in S$). For $\nu \in \mathcal{E}$, let $\mathfrak{g}_{D,\nu}^S := \mathfrak{g}_D^S \cap \mathfrak{g}_{D,\nu}$. Let $m_S := \min\{k(\alpha) | \alpha \in S\}$.

Lemma 3.4. *Let \mathcal{D} be a QEBS with $l \geq 2$. Let S be a subset of Π such that $|S| = 1$ or 2 . Then we have*

$$(3.4) \quad \mathfrak{g}_D^S = \mathfrak{h}_D \bigoplus \left(\bigoplus_{\lambda \in R(k,g)_S} \mathfrak{g}_{D,\lambda}^S \right) \bigoplus \left(\bigoplus_{n \in \mathbb{Z} \setminus \{0\}} \mathfrak{g}_{D, nm_S a}^S \right).$$

Moreover we have $\dim \mathfrak{g}_{D,\lambda}^S = 1$ for $\lambda \in R(k, g)_S$. Furthermore

$$(3.5) \quad \mathfrak{g}_{D, nm_S a}^S = \mathfrak{g}_{D, nm_S a}^{\{\alpha\}} \oplus \mathfrak{g}_{D, nm_S a}^{\{\beta\}}$$

if $S = \{\alpha, \beta\}$.

Proof. We first assume $|S| = 1$ and $S = \{\alpha\}$. Recall the affine subset $T := \{\alpha, -\alpha^*\}$ from Lemma 3.1. It follows from Lemma 2.1 that $E_{\pm\mu} \neq 0$ for $\mu \in T$, since $[E_\mu, E_{-\mu}] = h_{\mu^\vee} \neq 0$. Since $E_{\pm\mu}$ ($\mu \in T$) satisfy the Serre relations (see (2.3)), the lemma follows from the well-known argument [K1, Corollary 5.12] (see also [K2, Proposition 1.6]).

Assume $|S| = 2$, and $S = \{\alpha, \beta\}$. If $J(\beta^\vee, \alpha) = 0$, the lemma follows from the same argument as above. Assume $J(\beta^\vee, \alpha) = -1$. Recall γ and $E_{\pm\gamma}$ from Lemma 3.3. Let $U := \{\alpha, \beta, \gamma\}$. We have $E_{\pm\gamma} \in \mathfrak{g}_D^S$ since $E_{\pm\gamma} = n_{\nu_1} \cdots n_{\nu_r} E_{\mp\mu^*}$. Let $\mathfrak{g}_D^{(U)}$ be the sub Lie superalgebra of \mathfrak{g}_D^S generated by \mathfrak{h}_D and $E_{\pm\omega}$ ($\omega \in U$). Then $E_{\pm\mu^*} = n_{\nu_r}^{-1} \cdots n_{\nu_1}^{-1} E_{\mp\gamma} \in \mathfrak{g}_D^{(U)}$. Let $\rho \in \{\alpha, \beta\}$ be such that $\rho \neq \mu$ (see Lemma 3.2 for μ). By the sixth and seventh equalities of (2.3), we have $n_\mu E_{\pm\rho^*} = n_{\mu^*} E_{\pm\rho}$. Hence $E_{\pm\rho^*} = n_\mu^{-1} n_{\mu^*} E_{\pm\rho} \in \mathfrak{g}_D^{(U)}$. Hence $\mathfrak{g}_D^{(U)} = \mathfrak{g}_D^S$. By Lemma 3.3, $E_{\pm\omega}$ ($\omega \in U$) satisfy the Serre relations (3.3). Using the

well-known argument [K1, Corollary 5.12] again, we have (3.4) for the S . The equality (3.5) follows from the fact that

$$(3.6) \quad (\mathbb{Z}_\pm \alpha + \mathbb{Z}_\mp \beta + \mathbb{Z}a) \cap R(k, g)_S = \emptyset,$$

and that \mathfrak{g}_D^S is generated by $\mathfrak{g}_{D,\lambda}^{\{\alpha\}}$ ($\lambda \in R(k, g)_{\{\alpha\}}$) and $\mathfrak{g}_{D,\nu}^{\{\beta\}}$ ($\nu \in R(k, g)_{\{\beta\}}$) (See also Lemma 1.4). This completes the proof. \square

Keep the notation as above. Let S be a subset of Π . Let $ED_S := \mathbb{Z}S + \mathbb{Z}m_S a$. Define the subsets of $ED_{S,+}$ and $ED_{S,-}$ of ED_S by $ED_{S,\pm} := (\mathbb{Z}_\pm S + \mathbb{Z}m_S a) \setminus \mathbb{Z}m_S a$. Define the sub Lie superalgebras $\mathfrak{n}_D^{S,+}$, $\mathfrak{n}_D^{S,-}$, $\mathfrak{l}_D^{S,+}$ and $\mathfrak{l}_D^{S,-}$ of \mathfrak{g}_D^S by

$$\mathfrak{n}_D^{S,\pm} := \bigoplus_{\lambda \in EQ_{S,\pm}} \mathfrak{g}_{D,\lambda}^S$$

and

$$\mathfrak{l}_D^{S,\pm} := \bigoplus_{n \in \mathbb{Z}_\pm \setminus \{0\}} \mathfrak{g}_{D, nm_S a}^S$$

Lemma 3.5. *Keep the notation as above. Then the following hold.*

- (1) $\mathfrak{g}_D^S = \mathfrak{n}_D^{S,+} \oplus \mathfrak{l}_D^{S,+} \oplus \mathfrak{h}_D \oplus \mathfrak{l}_D^{S,-} \oplus \mathfrak{n}_D^{S,-}$.
- (2) $\mathfrak{n}_D^{S,+}$ (respectively, $\mathfrak{l}_D^{S,+}$, $\mathfrak{l}_D^{S,-}$ or $\mathfrak{n}_D^{S,-}$) is generated by $\mathfrak{n}_D^{\{\alpha\},+}$ (respectively, $\mathfrak{l}_D^{\{\alpha\},+}$, $\mathfrak{l}_D^{\{\alpha\},-}$ or $\mathfrak{n}_D^{\{\alpha\},-}$) with $\alpha \in S$.
- (3) $\dim \mathfrak{g}_{D,\lambda}^S = 1$ for $\lambda \in R(k, g)_{\{\alpha\}}$ if $\alpha \in \Pi$.

Proof. If $|S| = 1$ or 2 , the lemma follows from Lemma 3.4. Assume $|S| \geq 3$. From Lemma 3.4 and (3.6) when $J(\alpha^\vee, \beta) \neq 0$, or from the defining relations (2.3) when $J(\alpha^\vee, \beta) = 0$, it follows that

$$[\mathfrak{n}_D^{\{\alpha\},\pm}, \mathfrak{n}_D^{\{\beta\},\mp}] = \{0\}$$

and

$$[\mathfrak{l}_D^{\{\alpha\},+} \oplus \mathfrak{l}_D^{\{\alpha\},-}, \mathfrak{n}_D^{\{\beta\},\pm}] \subset \mathfrak{n}_D^{\{\beta\},\pm}.$$

for $\alpha, \beta \in \Pi$ with $\alpha \neq \beta$. Then the lemma follows from this fact and Lemma 2.1. \square

Proof of Theorem 2.1. The theorem follows from Lemmas 1.3, 1.4 and 3.5. \square

4 Proof of Lemma 2.1

4.1 An affine Lie superalgebra

Here we first recall the definition of the contragredient Lie superalgebras. Let \bar{I} be a finite set. Let \bar{I}^{odd} be a subset of \bar{I} . Define a map $\bar{p} : \bar{I} \rightarrow \{0, 1\}$ by $\bar{p}(i) = 1$ ($i \in \bar{I}^{\text{odd}}$) and $\bar{p}(j) = 0$ ($j \in \bar{I} \setminus \bar{I}^{\text{odd}}$). Let $\bar{A} := (\bar{a}_{ij})_{i, j \in \bar{I}}$ be an $\bar{I} \times \bar{I}$ matrix. Let $\bar{\mathfrak{H}}$ be the $2|\bar{I}|$ -dimensional \mathbb{C} -vector space. Let $\bar{\mathfrak{H}}^*$ be the dual space of $\bar{\mathfrak{H}}$. Let $\{\bar{\alpha}_i, \bar{\gamma}_i (i \in \bar{I})\}$ be a basis of $\bar{\mathfrak{H}}$. Let $\{\bar{h}_i, \bar{t}_i (i \in \bar{I})\}$ be a basis of $\bar{\mathfrak{H}}^*$. We assume that $\bar{\alpha}_i(\bar{h}_j) = \bar{a}_{ji}$, $\bar{\alpha}_i(\bar{t}_j) = \bar{\gamma}_i(\bar{h}_j) = \delta_{ji}$ and $\bar{\gamma}_i(\bar{t}_j) = 0$. Let $\bar{\Pi} := \{\bar{\alpha}_i (i \in \bar{I})\}$. Let $\bar{\Pi}^\vee := \{\bar{h}_i (i \in \bar{I})\}$. For the datum $\bar{D} := (\bar{A}, \bar{I}, \bar{I}^{\text{odd}}, \bar{\Pi}, \bar{\Pi}^\vee)$, we define a Lie superalgebra $\bar{\mathfrak{G}}'_{\bar{D}} := \bar{\mathfrak{G}}'(\bar{A}, \bar{I}^{\text{odd}})$ by generators

$$\bar{h}'_i, \bar{t}'_i, \bar{E}'_i, \bar{F}'_i (i \in \bar{I})$$

with parities

$$p(\bar{h}'_i) = p(\bar{t}'_i) = 0, \quad p(\bar{E}'_i) = p(\bar{F}'_i) = \bar{p}(i)$$

and defining relations

$$\begin{cases} [\bar{h}'_i, \bar{h}'_j] = [\bar{h}'_i, \bar{t}'_j] = [\bar{t}'_i, \bar{t}'_j] = 0, \\ [\bar{h}'_i, \bar{E}'_j] = \bar{a}_{ij}\bar{E}'_j, [\bar{t}'_i, \bar{E}'_j] = \delta_{ij}\bar{E}'_j, [\bar{h}'_i, \bar{F}'_j] = -\bar{a}_{ij}\bar{F}'_j, [\bar{t}'_i, \bar{F}'_j] = -\delta_{ij}\bar{F}'_j, \\ [\bar{E}'_i, \bar{F}'_j] = \delta_{ij}\bar{h}'_i. \end{cases}$$

Let $\bar{\mathfrak{H}}'$ be the subalgebra of $\bar{\mathfrak{G}}'_{\bar{D}}$ generated by \bar{h}'_i, \bar{t}'_i . Let \mathfrak{r} be the ideal of $\bar{\mathfrak{G}}'_{\bar{D}}$ which is maximal among the ones \mathfrak{r}' such that $\mathfrak{r}' \cap \bar{\mathfrak{H}}' = \{0\}$. We denote by $\bar{\mathfrak{G}}_{\bar{D}} = \bar{\mathfrak{G}}'(\bar{A}, \bar{I}^{\text{odd}})/\mathfrak{r}$ the quotient Lie superalgebra $\bar{\mathfrak{G}}'_{\bar{D}}/\mathfrak{r}$. In this paper, we call the $\bar{\mathfrak{G}}_{\bar{D}}$ the *contragredient Lie superalgebra*. Let $\bar{\pi} : \bar{\mathfrak{G}}'_{\bar{D}} \rightarrow \bar{\mathfrak{G}}_{\bar{D}}$ be a natural projective map. Notice that $\dim \bar{\pi}(\bar{\mathfrak{H}}') = 2|\bar{I}|$. By abuse of notation, we shall also denote $\bar{\pi}(\bar{\mathfrak{H}}')$, $\bar{\pi}(\bar{h}'_i)$ and $\bar{\pi}(\bar{t}'_i)$ by $\bar{\mathfrak{H}}$, \bar{h}_i and \bar{t}_i , respectively. We shall also denote $\bar{\pi}(\bar{E}'_i)$ and $\bar{\pi}(\bar{F}'_i)$ by \bar{E}_i and \bar{F}_i , respectively.

Keep the notation as above. Let $\bar{I}^{\text{pos}} := \{i \in \bar{I} | \bar{a}_{ii} \neq 0\}$. Let $\bar{I}^{\text{null}} := \bar{I} \setminus \bar{I}^{\text{pos}}$. Define the square matrix \bar{A}^{pos} by $\bar{A}^{\text{pos}} := (\bar{a}_{ij})_{i, j \in \bar{I}^{\text{pos}}}$. We say that \bar{D} is a *handy datum* if the following hold.

(1) If $|\bar{I}^{\text{pos}}| < |\bar{I}|$, then \bar{A}^{pos} is a finite-type generalized Cartan matrix; \bar{A}^{pos} may be not necessarily irreducible.

(2) If $|\bar{I}^{\text{pos}}| = |\bar{I}|$, \bar{A} is an affine type generalized Cartan matrix.

(3) If $i, j \in \bar{I}^{\text{null}}$ with $i \neq j$, then $(\bar{a}_{ij}, \bar{a}_{ji}) = (0, 0), (2, 2)$.

(4) If $i \in \bar{I}^{\text{pos}}$ and $j \in \bar{I}^{\text{null}}$, then $(\bar{a}_{ij}, \bar{a}_{ji}) = (0, 0), (-1, -1)$ or $(-1, -2)$.

(5) $\bar{I}^{\text{null}} \subset \bar{I}^{\text{odd}}$.

(6) If $i \in \bar{I}^{\text{pos}} \cap \bar{I}^{\text{odd}}$ and $j \in \bar{I}^{\text{null}}$, then $\bar{a}_{ij} = \bar{a}_{ji} = 0$.

- (7) If $i \in \bar{I}^{\text{pos}} \cap \bar{I}^{\text{odd}}$ and $j \in \bar{I}^{\text{pos}} \setminus \{i\}$, then $|\bar{a}_{ij}| \geq |\bar{a}_{ji}|$ and $\bar{a}_{ij} = -2$ or -4 .
(8) If $i \in \bar{I}^{\text{null}}$, then there exists a unique $j \in \bar{I}^{\text{null}}$ such that $i \neq j$ and $\bar{a}_{ij} \neq 0$.
(9) There exists a nondegenerate symmetric form $\bar{J} : \bar{\mathfrak{H}}^* \times \bar{\mathfrak{H}}^* \rightarrow \mathbb{C}$ such that $\bar{J}(\bar{\alpha}_i, \bar{\alpha}_i) = 0$ if and only if $\bar{a}_{ii} = 0$, and such that $\bar{J}(\bar{\alpha}_i, \bar{\alpha}_j) = b_i^{-1} \bar{a}_{ij}$, $\bar{J}(\bar{\gamma}_i, \bar{\alpha}_j) = b_i^{-1} \delta_{ij}$, $\bar{J}(\bar{\gamma}_i, \bar{\gamma}_j) = 0$, where $b_i := 2/\bar{J}(\bar{\alpha}_i, \bar{\alpha}_i)$ if $\bar{a}_{ii} \neq 0$; and, otherwise, $b_i := 1$.

For $\sigma \in \bar{\mathfrak{H}}^*$, let $\bar{h}_\sigma \in \bar{\mathfrak{H}}$ be such that $\tau(\bar{h}_\sigma) = \bar{J}(\tau, \sigma)$ for all $\tau \in \bar{\mathfrak{H}}^*$. Then $\bar{h}_i = b_i \bar{h}_{\bar{\alpha}_i}$ and $\bar{t}_i = b_i \bar{h}_{\bar{\gamma}_i}$.

Lemma 4.1. *Let \bar{D} be a handy datum. Then the following hold for $\bar{\mathfrak{G}}(\bar{A}, \bar{I}^{\text{odd}})$.*

- (1) $(\text{ad} \bar{E}_i)^{1-\bar{a}_{ij}} \bar{E}_j = 0$ for $i \in \bar{I}^{\text{pos}}$ and $j \in \bar{I} \setminus \{i\}$.
(2) $[\bar{E}_i, \bar{E}_j] = 0$ if $\bar{a}_{ij} = 0$. In particular it follows that if $\bar{a}_{ii} = 0$, then $[\bar{E}_i, \bar{E}_i] = 0$ and $(\text{ad} \bar{E}_i)^2 X = 0$ for any homogeneous element X of $\bar{\mathfrak{G}}$.
(3) $[\bar{E}_j, [[\bar{E}_i, \bar{E}_j], \bar{E}_m]] = 0$ if $\bar{a}_{jj} = 0$ and $-\bar{a}_{ji} = \bar{a}_{jm} \neq 0$.
(4) $[[\bar{E}_i, \bar{E}_j], \bar{E}_m] = [[\bar{E}_i, \bar{E}_m], \bar{E}_j]$ if $\bar{a}_{ii} = 2$, $\bar{a}_{jj} = \bar{a}_{mm} = 0$, $\bar{a}_{ji} = \bar{a}_{mi} = -1$ and $\bar{a}_{jm} = 2$.
(5) The same formulas as (1)-(4) with \bar{F}_i 's in place of \bar{E}_i 's hold.
(6) There exists an invariant form $\bar{J} : \bar{\mathfrak{G}} \times \bar{\mathfrak{G}} \rightarrow \mathbb{C}$ such that $\bar{J}(\bar{h}_\sigma, \bar{h}_\tau) = \bar{J}(\sigma, \tau)$. (By abuse of notation, we use the same symbol \bar{J} for the bilinear forms on $\bar{\mathfrak{G}}$ and $\bar{\mathfrak{H}}^*$.)

See [Ya1, Proposition 6.7.1] for the proof.

Let D be an handy datum. Let $\mathbb{C}[t, t^{-1}]$ be the Laurent polynomial algebra. Let

$$\mathfrak{L}(\bar{D}) := \bar{\mathfrak{G}}(\bar{A}, \bar{I}^{\text{odd}}) \otimes \mathbb{C}[t, t^{-1}] \oplus \mathbb{C}v \oplus \mathbb{C}w.$$

We view $\mathfrak{L}(\bar{D})$ as a Lie superalgebra in the following way. The parity of $X \otimes t^n$ is the same as the one of X for a homogeneous element X of $\bar{\mathfrak{G}}(\bar{A}, \bar{I}^{\text{odd}})$; the parities of v and w are 0. The Lie super bracket of $\mathfrak{L}(\bar{D})$ is given by

$$\begin{aligned} & [X \otimes t^m + a_1 v + b_1 w, Y \otimes t^n + a_2 v + b_2 w] \\ &= [X, Y] \otimes t^{m+n} + m \delta_{m+n,0} \bar{J}(X, Y) v + b_1 n Y \otimes t^n - b_2 m X \otimes t^m. \end{aligned}$$

for homogeneous elements X, Y of $\bar{\mathfrak{G}}(\bar{A}, \bar{I}^{\text{odd}})$. We shall also denote by \bar{J} the invariant form on $\mathfrak{L}(\bar{D})$ defined by

$$\bar{J}(X \otimes t^m + a_1 v + b_1 w, Y \otimes t^n + a_2 v + b_2 w) = \delta_{m+n,0} \bar{J}(X, Y) + a_1 b_2 + b_1 a_2.$$

4.2 Unfolding

Let \mathcal{D} be a QEBS with $l \geq 2$. We define a map $k^\vee : \Pi \rightarrow \{1, 2, 3, 4\}$ by the following.

- (1) If $J(\beta^\vee, \alpha) = -1$ and $g(\alpha) = \emptyset$ or \mathbb{Z} , then $k^\vee(\alpha) = \frac{k(\beta)}{k(\alpha)}k^\vee(\beta)$.
- (2) If $J(\alpha^\vee, \beta) = -2$, $k(\alpha) = 2k(\beta)$ and $g(\alpha) = 2\mathbb{Z}$, then $k^\vee(\alpha) = 2k^\vee(\beta)$.
- (3) If $J(\alpha^\vee, \beta) = -2$, $k(\alpha) = k(\beta)$ and $g(\alpha) = 2\mathbb{Z} + 1$ or $2\mathbb{Z}$, then $k^\vee(\alpha) = k^\vee(\beta) = 2$.
- (4) If $J(\alpha^\vee, \beta) = -2$ and $g(\alpha) = 4\mathbb{Z}$ or $4\mathbb{Z} + 2$, then $k^\vee(\alpha) = 3$ and $k^\vee(\beta) = 2$.
- (5) $k^\vee(\alpha) = 4k^\vee(\beta)$ if and only if $4k(\alpha) = k(\beta)$.

For the above \mathcal{D} , we define a handy datum $\bar{D}_{\mathcal{D}} = (\bar{A}_{\mathcal{D}}, \bar{I}_{\mathcal{D}}, \bar{I}_{\mathcal{D}}^{\text{odd}}, \bar{\Pi}_{\mathcal{D}}, \bar{\Pi}_{\mathcal{D}}^\vee)$ in the following way. Let

$$\bar{I}_{\mathcal{D}} := \{(\alpha, x) \in \Pi \times \{1, 2, 3, 4\} \mid 1 \leq x \leq k^\vee(\alpha)\}.$$

We define a square matrix $\bar{A}_{\mathcal{D}} = (\bar{a}_{(\alpha,x),(\beta,y)})_{(\alpha,x),(\beta,y) \in \bar{I}_{\mathcal{D}}}$ in the following way.

- (1) If $J(\alpha^\vee, \beta) = 0$, then $\bar{a}_{(\alpha,x),(\beta,y)} = 0$.
- (2) Let $\alpha \in \Pi$. If $g(\alpha) = \emptyset$ or \mathbb{Z} then $\bar{a}_{(\alpha,x),(\alpha,y)} = 2\delta_{xy}$. If $g(\alpha) = 2\mathbb{Z} + 1$, then $\bar{a}_{(\alpha,x),(\alpha,y)} = 3\delta_{xy} - 1$. If $g(\alpha) = 2\mathbb{Z}$, then $\bar{a}_{(\alpha,x),(\alpha,y)} = 2 - 2\delta_{xy}$. If $g(\alpha) = 4\mathbb{Z}$ or $4\mathbb{Z} + 2$, then $\bar{a}_{(\alpha,1),(\alpha,1)} = \bar{a}_{(\alpha,3),(\alpha,3)} = \bar{a}_{(\alpha,1),(\alpha,2)} = \bar{a}_{(\alpha,2),(\alpha,1)} = 0$, $\bar{a}_{(\alpha,2),(\alpha,2)} = \bar{a}_{(\alpha,1),(\alpha,3)} = \bar{a}_{(\alpha,3),(\alpha,1)} = 2$, $\bar{a}_{(\alpha,2),(\alpha,3)} = -1$ and $\bar{a}_{(\alpha,3),(\alpha,2)} = -2$.
- (3) Assume $J(\beta^\vee, \alpha) = -1$. Then

$$\begin{aligned} & (\bar{a}_{(\alpha,x),(\beta,y)}, \bar{a}_{(\beta,y),(\alpha,x)}) \\ &= \begin{cases} (0, 0) & \text{if } k^\vee(\alpha) = 4, k^\vee(\beta) = 2 \text{ and } x - y \notin 2\mathbb{Z}, \\ (0, 0) & \text{if } k^\vee(\beta) \leq k^\vee(\alpha) \leq \frac{3}{2}k^\vee(\beta) \text{ and } x \neq y, \\ (-2, -1) & \text{if } 2 \leq k^\vee(\alpha) \leq 3, k^\vee(\beta) = 2, \bar{a}_{(\alpha,x),(\alpha,x)} = 0 \text{ and } x = y, \\ (-1, -1) & \text{if } g(\alpha) = 2\mathbb{Z} + 1 \text{ and } x = y, \\ (\frac{k(\alpha)}{k(\beta)}J(\alpha^\vee, \beta), -1) & \text{otherwise.} \end{cases} \end{aligned}$$

Lemma 4.2. *Let \mathcal{D} be a QEBS with $l \geq 2$. Then there exists a unique homomorphism $\pi_{\mathcal{D}} : \mathfrak{g}_{\mathcal{D}} \rightarrow \mathfrak{L}(\bar{D}_{\mathcal{D}})$ satisfying the following properties:*

(i)

$$\pi_{\mathcal{D}}(E_{\pm\alpha}) = \begin{cases} \sum_{x=1}^{k^\vee(\alpha)} \bar{E}_{\pm(\alpha,x)} & \text{if } g(\alpha) = \emptyset, \mathbb{Z} \text{ or } 2\mathbb{Z}, \\ \sqrt{2}(\bar{E}_{\pm(\alpha,1)} + \bar{E}_{\pm(\alpha,2)}) & \text{if } g(\alpha) = 2\mathbb{Z} + 1, \\ \sqrt{2}\bar{E}_{\pm(\alpha,2)} \pm \frac{1}{\sqrt{2}}[\bar{E}_{\pm(\alpha,1)}, \bar{E}_{\pm(\alpha,3)}] & \text{if } g(\alpha) = 4\mathbb{Z} + 2, \\ \bar{E}_{\pm(\alpha,1)} \pm [\bar{E}_{\pm(\alpha,3)}, \bar{E}_{\pm(\alpha,2)}] & \text{if } g(\alpha) = 4\mathbb{Z}, \end{cases}$$

$$\pi_{\mathcal{D}}(E_{\pm\alpha^*}) = \begin{cases} \sum_{x=1}^{k^\vee(\alpha)} \exp(\pm \frac{\pi\sqrt{-1}(2j-1-k^\vee(\alpha))}{k^\vee(\alpha)}) \bar{E}_{\pm(\alpha,x)} \otimes t^{\pm k(\alpha)} & \text{if } g(\alpha) = \emptyset \text{ or } 2\mathbb{Z}, \\ \pm \frac{1}{4} \sum_{x=1}^{k^\vee(\alpha)} \exp(\pm \frac{\pi\sqrt{-1}(2j-1-k^\vee(\alpha))}{k^\vee(\alpha)}) [\bar{E}_{\pm(\alpha,x)}, \bar{E}_{\pm(\alpha,x)}] \otimes t^{\pm k(\alpha)} & \text{if } g(\alpha) = \mathbb{Z}, \\ -\sqrt{-1} [\bar{E}_{\pm(\alpha,1)}, \bar{E}_{\pm(\alpha,2)}] \otimes t^{\pm 1} & \text{if } g(\alpha) = 2\mathbb{Z} + 1, \\ (\bar{E}_{\pm(\alpha,1)} + \sqrt{-1} [\bar{E}_{\pm(\alpha,3)}, \bar{E}_{\pm(\alpha,2)}]) \otimes t^{\pm 1} & \text{if } g(\alpha) = 4\mathbb{Z} + 2, \\ \sqrt{2} (\bar{E}_{\pm(\alpha,2)} + \frac{\sqrt{-1}}{2} [\bar{E}_{\pm(\alpha,1)}, \bar{E}_{\pm(\alpha,3)}]) \otimes t^{\pm 1} & \text{if } g(\alpha) = 4\mathbb{Z}, \end{cases}$$

(ii) There exists an $\kappa \in \mathbb{C} \setminus \{0\}$ such that $\bar{J}(\pi_{\mathcal{D}}(h_\mu), \pi_{\mathcal{D}}(h_\nu)) = \kappa J(\mu, \nu)$ for $\mu, \nu \in \mathcal{B}$.

(iii) $\pi_{\mathcal{D}}(h_a) = \kappa\nu$, $\pi_{\mathcal{D}}(h_{\Lambda_a}) = w$ and $\pi_{\mathcal{D}}(h_{\Lambda_\delta}) = \sum_{i=1}^{k^\vee(\alpha_0)} \bar{t}_{(\alpha_0, i)}$.

In particular, $\pi_{\mathcal{D}}(h_\sigma) \neq 0$ for all $\sigma \in \mathcal{E}$.

This can be proved directly by using Lemma 4.1.

Proof of Lemma 2.1. The lemma follows immediately from Lemma 4.2. \square

Keep the notation as in Lemma 4.2. We shall also denote by J the invariant form on $\mathfrak{g}_{\mathcal{D}}$ defined by $\frac{1}{\kappa} \bar{J}$.

5 Invariant form and central extension

5.1 Invariant form and a universal property

Let \mathcal{D} be a QEBS with $l \geq 2$. Following the notation in [S], we say that \mathcal{D} is $A_l^{(1,1)}$ if the $(l+1) \times (l+1)$ -matrix $A = (J(\alpha^\vee, \beta))_{\alpha, \beta \in \Pi}$ is $\mathbf{A}_l^{(1)}$ (see also Subsec. 1.1 for the name $\mathbf{A}_l^{(1)}$). Notice that if \mathcal{D} is $A_l^{(1,1)}$, then $p(\alpha) = 0$ and $g(\alpha) = \emptyset$ for all $\alpha \in \Pi$.

Theorem 5.1. *Let \mathcal{D} be a QEBS with $l \geq 2$. Assume that \mathcal{D} is not $A_l^{(1,1)}$. Let $\mathfrak{g}'_{\mathcal{D}}$ be a Lie superalgebra satisfying the following conditions.*

- (1) $\mathfrak{g}'_{\mathcal{D}}$ includes $\mathfrak{h}_{\mathcal{D}}$ as a subalgebra.
- (2)

$$\mathfrak{g}'_{\mathcal{D}} = \mathfrak{h}_{\mathcal{D}} \bigoplus \left(\bigoplus_{\nu \in R(k, g)} \mathfrak{g}'_{\mathcal{D}, \nu} \right) \bigoplus \left(\bigoplus_{(m, n) \in \mathbb{Z}^{2, l}} \mathfrak{g}'_{\mathcal{D}, m\delta + na} \right),$$

and $\dim \mathfrak{g}'_{\mathcal{D}, \nu} = 1$ for $\nu \in R(k, g)$, where $\mathfrak{g}'_{\mathcal{D}, \sigma} := [X \in \mathfrak{g}'_{\mathcal{D}} \mid [h, X] = \sigma(h)X \ (h \in \mathfrak{h}_{\mathcal{D}})]$.

(3) There exists an invariant form J' on $\mathfrak{g}'_{\mathcal{D}}$ such that $J'(h_{\sigma}, h_{\tau}) = J'(\sigma, \tau)$ ($\sigma, \tau \in \mathcal{E}$) and such that $\text{Ker } J' \subset \bigoplus_{(m,n) \in \mathbb{Z}^{2,\nu}} \mathfrak{g}'_{\mathcal{D}, m\delta + na}$.

Then there exists an epimorphism $\eta : \mathfrak{g}_{\mathcal{D}, \sigma} \rightarrow \mathfrak{g}'_{\mathcal{D}, \sigma}$ such that $\eta(h_{\sigma}) = h_{\sigma}$ ($\sigma \in \mathcal{E}$) and $J' \circ (\eta \times \eta) = J$.

Proof. Using the same argument as in [K1, Theorem 2.2], we can choose non-zero elements E'_{ν} of $\mathfrak{g}'_{\mathcal{D}, \nu}$ ($\nu \in R(k, g)$) so that they, together with h_{σ} 's, satisfy the relations (2.3). Then the theorem follows from Theorem 2.1. \square

Corollary 5.1. *Let $\mathcal{D} = \mathcal{D}(\mathcal{E}, \Pi, a, k, g)$ be a QEBS with $l \geq 2$. Assume that $g(\alpha_0) = 4\mathbb{Z}$. Let $\mathcal{D}' = \mathcal{D}(\mathcal{E}, \Pi, a, k, g')$ be the QEBS obtained from the \mathcal{D} by replacing g by g' such that $g'(\alpha_0) = 4\mathbb{Z} + 2$ and $g'(\alpha_i) = g(\alpha_i)$ ($1 \leq i \leq l$). Then there exists an isomorphism $\xi : \mathfrak{g}_{\mathcal{D}} \rightarrow \mathfrak{g}_{\mathcal{D}'}$ such that $\xi(h_{\alpha_0}) = h_{\alpha_0^*}$, $\xi(h_{\alpha_i}) = h_{\alpha_i}$ ($1 \leq i \leq l$), $\xi(h_a) = h_a$, $\xi(h_{\Lambda_{\delta}}) = h_{\Lambda_{\delta}}$ and $\xi(h_{\Lambda_a}) = h_{\Lambda_a - \Lambda_{\delta}}$.*

This can be proved easily by using Theorem 5.1.

5.2 A Lie algebra with the quantum tori

Here we recall a Lie algebra studied in [BGK]. Let $q \in \mathbb{C} \setminus \{0\}$. Let $\mathbb{C}_q = \mathbb{C}_q[s^{\pm 1}, t^{\pm 1}]$ be the \mathbb{C} -algebra defined by generators $s^{\pm 1}, t^{\pm 1}$ and defining relations $ts = qst$. Let $M_{l+1}(\mathbb{C}_q)$ be the \mathbb{C}_q -algebra of the $(l+1) \times (l+1)$ -matrices over \mathbb{C}_q . Let $\widehat{M}_{l+1}(\mathbb{C}_q) := M_{l+1}(\mathbb{C}_q) \oplus \mathbb{C}c_1 \oplus \mathbb{C}c_2 \oplus \mathbb{C}d_1 \oplus \mathbb{C}d_2$. We regard $\widehat{M}_{l+1}(\mathbb{C}_q)$ as a \mathbb{C} -Lie algebra by

$$\begin{aligned} & [s^{x_1} t^{x_2} E_{ij}, s^{y_1} t^{y_2} E_{mn}] \\ &= s^{x_1+y_1} t^{x_2+y_2} (\delta_{jm} q^{x_2 y_1} E_{in} - \delta_{in} q^{x_1 y_2} E_{mj}) + \delta_{x_1+y_1, 0} \delta_{x_2+y_2, 0} q^{x_2 y_1} (x_1 c_1 + x_2 c_2) \end{aligned}$$

and

$$[c_i, s^{x_1} t^{x_2} E_{mn}] = 0, [d_i, s^{x_1} t^{x_2} E_{mn}] = x_i s^{x_1} t^{x_2} E_{mn}, [c_i, c_j] = [c_i, d_j] = [d_i, d_j] = 0.$$

We define an symmetric invariant form \bar{J}_q on $\widehat{M}_{l+1}(\mathbb{C}_q)$ by $\bar{J}_q(s^{x_1} t^{x_2} E_{ij}, s^{y_1} t^{y_2} E_{mn}) = \delta_{x_1+y_1, 0} \delta_{x_2+y_2, 0} q^{x_2 y_1}$, $\bar{J}_q(s^{x_1} t^{x_2} E_{ij}, c_i) = \bar{J}_q(s^{x_1} t^{x_2} E_{ij}, d_i) = 0$, $\bar{J}_q(c_i, c_j) = \bar{J}_q(d_i, d_j) = 0$ and $\bar{J}_q(c_i, d_j) = \delta_{ij}$.

Let \mathcal{D} be $A_l^{(1,1)}$. Let $\mathfrak{g}_{\mathcal{D}}^q$ be the Lie algebra defined by the generators (2.1) and the defining relations obtained from the ones (2.3) by replacing $[E_{\pm\alpha_0^*}, E_{\pm\alpha_l}] = [E_{\pm\alpha_0}, E_{\pm\alpha_l^*}]$ with

$$q^{\pm 1} [E_{\pm\alpha_0^*}, E_{\pm\alpha_l}] = [E_{\pm\alpha_0}, E_{\pm\alpha_l^*}].$$

Then there exists a unique homomorphism $\pi_{\mathcal{D}}^q : \mathfrak{g}_{\mathcal{D}}^q \rightarrow \widehat{M}_{l+1}(\mathbb{C}_q)$ such that $\pi_{\mathcal{D}}^q(E_{\alpha_i}) = E_{ii+1}$, $\pi_{\mathcal{D}}^q(E_{\alpha_i^*}) = tE_{ii+1}$, $\pi_{\mathcal{D}}^q(E_{-\alpha_i}) = E_{i+1i}$, $\pi_{\mathcal{D}}^q(E_{-\alpha_i^*}) = t^{-1}E_{i+1i}$ ($1 \leq i \leq l$), $\pi_{\mathcal{D}}^q(E_{\alpha_0}) = sE_{l+11}$, $\pi_{\mathcal{D}}^q(E_{\alpha_0^*}) = stE_{l+11}$, $\pi_{\mathcal{D}}^q(E_{-\alpha_0}) = s^{-1}E_{l+11}$, $\pi_{\mathcal{D}}^q(E_{-\alpha_0^*}) = qs^{-1}t^{-1}E_{l+11}$, $\pi_{\mathcal{D}}^q(h_{\Lambda_\delta}) = d_1$ and $\pi_{\mathcal{D}}^q(h_{\Lambda_a}) = d_2$. We see that similar results to Theorems 2.1 and 5.1 also hold for $\mathfrak{g}_{\mathcal{D}}^q$ with $\pi_{\mathcal{D}}^q$.

5.3 Central extension

We first recall the definition of the universal central extension of a Lie superalgebra. See [IK] for more detail. Let $\mathfrak{a} = \mathfrak{a}_0 \oplus \mathfrak{a}_1$ be a Lie superalgebra. Let $\text{Der}(\mathfrak{a}) = [\mathfrak{a}, \mathfrak{a}]$. We say that \mathfrak{a} is perfect if $\text{Der}(\mathfrak{a}) = \mathfrak{a}$. We say that a Lie superalgebra epimorphism $P : \mathfrak{u} = \mathfrak{u}_0 \oplus \mathfrak{u}_1 \rightarrow \mathfrak{a}$ is a central extension if $[\ker P, \mathfrak{u}] = \{0\}$ and $\ker P = (\mathfrak{u}_0 \cap \ker P) \oplus (\mathfrak{u}_1 \cap \ker P)$ (we do not assume $\ker P \subset \mathfrak{u}_0$). We say that a central extension $V : \mathfrak{u} \rightarrow \mathfrak{a}$ is universal if $\mathfrak{u} = \text{Der}(\mathfrak{u})$ and if for any central extension $W : \mathfrak{b} \rightarrow \mathfrak{a}$, there exists a homomorphism $M : \mathfrak{u} \rightarrow \mathfrak{b}$ such that $W \circ M = V$. Notice that if $P : \mathfrak{u} \rightarrow \mathfrak{a}$ is a central extension and if $x, y \in \mathfrak{a}$ are homogeneous elements, then there exists a unique $z \in \mathfrak{u}$ such that $z \in [P^{-1}(\{x\}), P^{-1}(\{y\})]$; we denote the z by $N(P, x, y)$. Notice that if $x \in \mathfrak{a}_i$ and $y \in \mathfrak{a}_i$, then $N(P, x, y) \in \mathfrak{u}_{i+j}$.

In this subsection, the following easy lemma is also necessary.

Lemma 5.1. *Let $\mathfrak{a} = \mathfrak{a}_0 \oplus \mathfrak{a}_1$ be a Lie superalgebra such that $\mathfrak{a} = \text{Der}(\mathfrak{a}) \oplus \mathbb{C}k_1 \oplus \cdots \oplus \mathbb{C}k_n$, $k_i \in \mathfrak{a}_0$, $[k_i, k_j] = 0$, and $\text{Der}(\mathfrak{a}) = \bigoplus_{x \in \mathbb{C}^n} \mathfrak{a}'_x$, where $\mathfrak{a}'_x = \{X \in \text{Der}(\mathfrak{a}) \mid [k_i, X] = x_i X\}$. Assume that \mathfrak{a} is presented by generators k_i ($1 \leq i \leq n$) $a_p \in \text{Der}(\mathfrak{a})$ ($p \in P$) with $a_p \in \mathfrak{a}'_{x(p)}$ for some $x(p) = (x(p)_1, \dots, x(p)_n) \in \mathbb{C}^n$ and defining relations $f_t = 0$ ($t \in T$) and $[k_i, a_p] = x(p)_i a_p$, $[k_i, k_j] = 0$, where f_t 's are assumed to be expressed only by the elements a_p ($p \in P$). Then $\text{Der}(\mathfrak{a})$ is also presented by the generators a_p ($p \in P$) and the defining relations $f_t = 0$ ($t \in T$).*

Proof. Let $\mathfrak{c} := \mathbb{C}k_1 \oplus \cdots \oplus \mathbb{C}k_n$. Let \mathfrak{b} be the Lie superalgebra generated by the generators b_p ($p \in P$) and the defining relations $g_t = 0$ ($t \in T$), where g_t is expressed by replacing a_p of f_t with b_p . Let $\mathfrak{b}_x = \{Y \in \mathfrak{b} \mid [k_i, Y] = x_i Y\}$ for $x \in \mathbb{C}^n$. Then $\mathfrak{b} = \bigoplus_{x \in \mathbb{C}^n} \mathfrak{b}_x$. We can define a Lie superalgebra $\mathfrak{d} = \mathfrak{b} \oplus \mathfrak{c}$ by $[b + \sum y_i k_i, b' + \sum y'_i k_i] = [b, b'] + (\sum y_i x'_i) b' - (\sum y'_i x_i) b$. We see that there exists an isomorphism $\Phi : \mathfrak{d} \rightarrow \mathfrak{a}$ such that $\Phi(b_p) = a_p$ and $\Phi(k_i) = k_i$. \square

Lemma 5.2. *Keep the notation as in Lemma 3.2. Let $S := \{\alpha, \beta\}$ and $T := S \cup \{\gamma\}$. Then $\text{Der}(\mathfrak{g}_{\mathcal{D}}^S)$ is isomorphic to the contragredient Lie superalgebra $\text{Der}(\mathfrak{G}(A_T, T^{\text{odd}}))$.*

Proof. Recall from the proof of Lemma 3.4 that the generators E_ω ($\omega \in T$) of $\text{Der}(\mathfrak{g}_{\mathcal{D}}^S)$ satisfy the Serre relations (see also (3.3)). It is well-known that $\text{Der}(\mathfrak{G}(A_T, T^{\text{odd}}))$ is presented by the Chevalley generators and the Serre relations (see also Lemma 5.1), which

is shown by the same argument as that for the Gabber-Kac theorem. Hence there exists an epimorphism $\theta : \text{Der}(\bar{\mathfrak{G}}(A_T, T^{\text{odd}})) \rightarrow \text{Der}(\mathfrak{g}_{\mathcal{D}}^S)$. By Lemma 3.4, we see that $\dim(\mathfrak{h}_{\mathcal{D}} \cap \text{Der}(\mathfrak{g}_{\mathcal{D}}^S)) = 3 = \dim(\bar{\mathfrak{H}} \cap \text{Der}(\bar{\mathfrak{G}}(A_T, T^{\text{odd}})))$. By this fact and by the definition of $\bar{\mathfrak{G}}(A_T, T^{\text{odd}})$ (see Subsec. 4.1), we see that the θ is an isomorphism. \square

Let \mathcal{D} be a QEBS with $l \geq 2$. Recall the homomorphism $\pi_{\mathcal{D}} : \mathfrak{g}_{\mathcal{D}} \rightarrow \mathfrak{L}(\bar{D}_{\mathcal{D}})$ from Lemma 4.2. Let $\varpi : \text{Im}\pi_{\mathcal{D}} \rightarrow \text{Im}\pi_{\mathcal{D}}/\pi_{\mathcal{D}}(\mathbb{C}h_{\delta} \oplus \mathbb{C}h_a)$ be the natural projective map. For a subset S of Π , let $\mathfrak{p}_{\mathcal{D}}^S := (\varpi \circ \pi_{\mathcal{D}})(\text{Der}(\mathfrak{g}_{\mathcal{D}}^S))$, and define the epimorphism $\varrho_S : \text{Der}(\mathfrak{g}_{\mathcal{D}}^S) \rightarrow \mathfrak{p}_{\mathcal{D}}^S$ by $\varrho_S = (\varpi \circ \pi_{\mathcal{D}})|_{\text{Der}(\mathfrak{g}_{\mathcal{D}}^S)}$.

Lemma 5.3. *Keep the notation as above. Assume that $S = \{\alpha, \beta\}$ and $J(\alpha, \beta^{\vee}) = -1$. Then ϱ_S is a universal central extension.*

Proof. Let $f : \mathfrak{b} \rightarrow \mathfrak{p}_{\mathcal{D}}^S$ be a central extension. Recall $T = S \cup \{\gamma\}$ from Lemma 5.2. For $\mu \in T$, let $h'_{\mu^{\vee}} := N(f, \varrho_S(E_{\mu}), \varrho_S(E_{-\mu}))$. and $E'_{\pm\mu} := N(f, \varrho_S(\pm\frac{1}{2}h_{\mu^{\vee}}), \varrho_S(E_{\pm\mu}))$. Let $\mu, \nu \in T$. We see that $[h'_{\mu^{\vee}}, E'_{\pm\nu}] = [h'_{\mu^{\vee}}, \pm 2^{-1}[h'_{\nu^{\vee}}, E'_{\pm\nu}]] = \pm 2^{-1}[h'_{\nu^{\vee}}, [h'_{\mu^{\vee}}, E'_{\pm\nu}]] = \pm 2^{-1}J(\mu^{\vee}, \pm\nu)[h'_{\nu^{\vee}}, E'_{\pm\nu}] = J(\mu^{\vee}, \pm\nu)E'_{\pm\nu}$. Similarly we have $[h'_{\mu^{\vee}}, h'_{\nu^{\vee}}] = 0$. Assume $\mu \neq \nu$. Then we have $0 = [xh'_{\mu^{\vee}} + yh'_{\nu^{\vee}}, [E'_{\mu}, E'_{-\nu}]] = J(x\mu^{\vee} + y\nu^{\vee}, \mu - \nu)[E'_{\mu}, E'_{-\nu}]$ for $x, y \in \mathbb{C}$. Hence $[E'_{\mu}, E'_{-\nu}] = 0$. Similarly we have $(\text{ad}E'_{\pm\mu})^{1-J(\mu^{\vee}, \nu)}E'_{\pm\nu} = 0$. Then the lemma follows from Lemma 5.2. \square

Theorem 5.2. *Let \mathcal{D} be a QEBS with $l \geq 2$. Then $\varrho_{\Pi} : \text{Der}(\mathfrak{g}_{\mathcal{D}}) \rightarrow \mathfrak{p}_{\mathcal{D}}^{\Pi}$ is the universal central extension. (In particular, if $g(\alpha) = \emptyset$ for all $\alpha \in \Pi$ and if the Cartan matrix A is $\mathbf{X}_l^{(1)}$ for some $\mathbf{X} = \mathbf{A}, \dots, \mathbf{G}$, then $\text{Der}(\mathfrak{g}_{\mathcal{D}})$ is the toroidal Lie algebra in the sense of [MEY].)*

Proof. Let $f : \mathfrak{b} \rightarrow \mathfrak{p}_{\mathcal{D}}^{\Pi}$ be a central extension. For $\alpha \in \Pi$, let $h'_{\alpha^{\vee}} := N(f, \varrho_{\Pi}(E_{\alpha}), \varrho_{\Pi}(E_{-\alpha}))$, $h'_{(\alpha^*)^{\vee}} := N(f, \varrho_{\Pi}(E_{\alpha^*}), \varrho_{\Pi}(E_{-\alpha^*}))$, $E'_{\pm\alpha} := N(f, \varrho_{\Pi}(\pm\frac{1}{2}h_{\alpha^{\vee}}), \varrho_{\Pi}(E_{\alpha}))$ and $E'_{\pm\alpha^*} := N(f, \varrho_{\Pi}(\pm\frac{1}{2c(\alpha)}h_{\alpha^{\vee}}), \varrho_{\Pi}(E_{\pm\alpha^*}))$.

Let $\alpha, \beta \in \Pi$ be such that $J(\alpha, \beta^{\vee}) = -1$. Let $S = \{\alpha, \beta\}$. By Lemma 5.3, there exists a homomorphism $g_S : \text{Der}(\mathfrak{g}_{\mathcal{D}}^S) \rightarrow f^{-1}(\mathfrak{p}_{\mathcal{D}}^S)$ such that $\varrho_S = f \circ g_S$. Then we have

$$(5.1) \quad h'_{\mu^{\vee}} = g_S(h_{\mu^{\vee}}), \quad E'_{\pm\mu} = g_S(E_{\pm\mu})$$

for $\mu \in \{\alpha, \beta, \alpha^*, \beta^*\}$. Then the elements of (5.1) satisfy the equalities (2.3).

Let $\alpha, \beta \in \Pi$ be such that $J(\alpha, \beta) = 0$. Let $\mu \in \{\pm\alpha, \pm\alpha^*\}$ and $\nu \in \{\pm\beta, \pm\beta^*\}$. Let $\varepsilon \in \mathbb{C}$ be such that $J(\alpha^{\vee}, \mu) + \varepsilon J(\beta^{\vee}, \nu) \neq 0$. Then we have

$$\begin{aligned} 0 &= [h'_{\alpha^{\vee}} + \varepsilon h'_{\beta^{\vee}}, [E'_{\mu}, E'_{\nu}]] \\ &= [[h'_{\alpha^{\vee}} + \varepsilon h'_{\beta^{\vee}}, E'_{\mu}], E'_{\nu}] + [E'_{\mu}, [h'_{\alpha^{\vee}} + \varepsilon h'_{\beta^{\vee}}, E'_{\nu}]] \\ &= [[h'_{\alpha^{\vee}}, E'_{\mu}], E'_{\nu}] + [E'_{\mu}, [\varepsilon h'_{\beta^{\vee}}, E'_{\nu}]] \\ &= (J(\alpha^{\vee}, \mu) + \varepsilon J(\beta^{\vee}, \nu))[E'_{\mu}, E'_{\nu}]. \end{aligned}$$

Hence we have

$$(5.2) \quad [E'_\mu, E'_\nu] = 0.$$

Using (5.2), we have $[h'_{\alpha^\vee}, E'_\nu] = 0$, $[h'_{\beta^\vee}, E'_\mu] = 0$ and $[h'_{\alpha^\vee}, h'_{\beta^\vee}] = 0$.

By the above argument and by Lemma 5.1, we see that there exists a homomorphism $\vartheta : \text{Der}(\mathfrak{g}_{\mathcal{D}}) \rightarrow \mathfrak{b}$ such that $f \circ \vartheta = \varrho_{\Pi}$. \square

Notice that if \mathcal{D} is $A_l^{(1,1)}$, a similar result to Theorem 5.2 also holds for $\mathfrak{g}_{\mathcal{D}}^q$ with $\pi_{\mathcal{D}}^q$ (see also Subsec. 4.3).

6 Elliptic root base

Let $\mathcal{D} = \mathcal{D}(\mathcal{E}, \Pi, a, k, g)$ be a QEBS with $l \geq 2$. Here by extending the notion given in [S], we introduce an *elliptic root basis* of $R(k, g)$ for the \mathcal{D} . Recall $\delta \in \mathbb{Z}_+\Pi$ from Subsec. 2.2. For $\alpha \in \Pi$, let $x_\alpha \in \mathbb{Z}_+$ ($\alpha \in \Pi$) be the coefficient of δ , i.e., $\delta = \sum_{\alpha \in \Pi} x_\alpha \alpha$. Let $m_\alpha := \frac{c(\alpha)I(\alpha, \alpha)x_\alpha}{k(\alpha)}$. Let $m_{\max} := \max\{m_\alpha | \alpha \in \Pi\}$ and $\Pi_{\max} := \{\alpha \in \Pi | m_\alpha = m_{\max}\}$. For a subset S of Π , let $S^* := \{\alpha^* | \alpha \in S\}$. Let $\Gamma(R, G) := \Pi \cup \Pi_{\max}^*$ (where R and G denote $R(k, g)$ and $\mathbb{C}a$ respectively). We call the $\Gamma(R, G)$ the *elliptic root basis* of $R(k, g)$. For a subset S of Π , let $\Gamma(R, G; S) := \Gamma(R, G) \cap (S \cup S^*)$. Recall the Lie superalgebra $\mathfrak{g}_{\mathcal{D}}$ from Subsec. 2.1.

Theorem 6.1. *Let \mathcal{D} be a QEBS with $l \geq 2$. Then the Lie superalgebra $\mathfrak{g}_{\mathcal{D}}$ can also be presented by the generators*

$$(6.1) \quad h_\sigma (\sigma \in \mathcal{E}), E_\mu, E_{-\mu} (\mu \in \Gamma(R, G))$$

with the same parities as (2.2) and the following relations.

$$(6.2) \quad \begin{cases} \text{The same relations as the ones among those in (2.3) expressed} \\ \text{only by the same symbols as in (6.1),} \end{cases}$$

$$(6.3) \quad [E_{\pm\alpha^*}, [E_{\pm\alpha}, E_{\pm\beta}]] = 0, \text{ if } \Gamma(R, G; \{\alpha, \beta\}) = \{\alpha, \alpha^*, \beta\} \text{ and } \frac{J(\alpha^\vee, \beta)}{J(\beta^\vee, \alpha)} = c(\alpha),$$

$$(6.4) \quad \begin{cases} [E_{\pm\alpha^*}, [E_{\pm\alpha}, E_{\pm\beta}]] = [[E_{\pm\alpha^*}, E_{\pm\beta}], [E_{\pm\alpha}, E_{\pm\beta}]] = 0, \\ \text{if } \Gamma(R, G; \{\alpha, \beta\}) = \{\alpha, \alpha^*, \beta\}, g(\alpha) = \emptyset \text{ and } \frac{J(\beta^\vee, \alpha)}{J(\alpha^\vee, \beta)} = 2 \text{ or } 3, \end{cases}$$

$$(6.5) \quad \begin{cases} [(\text{ad} E_{\pm\beta})^{-J(\beta^\vee, \alpha)} E_{\pm\alpha}, (\text{ad} E_{\pm\beta^*})^{-J((\beta^*)^\vee, \gamma)} E_{\pm\gamma}] = 0, \\ \text{if } \Gamma(R, G; \{\alpha, \beta, \gamma\}) = \{\alpha, \beta, \beta^*, \gamma\}. \end{cases}$$

This can be proved by the same argument as that for [Ya2, Theorem 4.1].

Appendix

As mentioned in the text, especially in Theorem 1.1, K. Saito [S] (see also [SY]) introduced the notion of the ERS, and showed that every RMERS is realized as the $R(k, 0)$ for some SEBS $\mathcal{D}(\mathcal{E}, \Pi, a, k, 0)$. However, there exists a reduced ERS which is not realized as RMERS. As mentioned in Introduction, the authors of [AABGP] introduced the notion of extended affine root systems (EARS for short), which is different from the SEARS's introduced in [S]. It is known (see [A]) that there exists a natural one-to-one correspondence between the reduced SEARS's and the EARS's. Here we also use the terminology and notation in [AABGP, Construction 2.32 and Theorem 2.37]. By Theorems 1.2 and 2.2, we see that if an EARS has the nullity equal to two and $4 \leq \dim \mathcal{V}$, the corresponding reduced ERS is realized as $R(k, g)$ for some QEBS $\mathcal{D} = \mathcal{D}(\mathcal{E}, \Pi, a, k, g)$ with $g(\alpha) = \emptyset$ or $2\mathbb{Z} + 1$ ($\alpha \in \Pi$). Let \mathcal{D} be a QEBS with $l \geq 2$ such that the name of $A(= A_\Pi)$ is $\mathbf{D}_{l+1}^{(2)}$. We may assume that there exists $\varepsilon_i \in \mathcal{E}$ ($1 \leq i \leq l$) such that $J(\varepsilon_i, \varepsilon_j) = \delta_{ij}$, $\alpha_0 = \delta - \varepsilon_1$, $\alpha_i = \varepsilon_i - \varepsilon_{i+1}$ ($2 \leq i \leq l-1$) and $\alpha_l = \varepsilon_l$. Then the corresponding EARS is $R(X, S, L, E)$ is such that

$$X = \begin{cases} B_l & \text{if } g(\alpha_0) = g(\alpha_l) = \emptyset \\ BC_l & \text{otherwise,} \end{cases}$$

and $S = ((2\mathbb{Z} + 1)\delta + \mathbb{Z}k(\alpha_0)a) \cup (2\mathbb{Z}\delta + \mathbb{Z}k(\alpha_l)a)$, $L = 2\mathbb{Z}\delta + \mathbb{Z}k(\alpha_i)a$ ($2 \leq i \leq l-1$) and $E = ((4\mathbb{Z} + 2)\delta + g(\alpha_0)a) \cup (4\mathbb{Z}\delta + g(\alpha_l)a)$. Here if $g(\alpha_0) = \emptyset$, then $(4\mathbb{Z} + 2)\delta + g(\alpha_0)a = \emptyset$; if $g(\alpha_l) = \emptyset$, then $(4\mathbb{Z} + 2)\delta + g(\alpha_l)a = \emptyset$. Strictly saying, if $E = \emptyset$, then $R(X, S, L, E)$ is denoted as $R(X, S, L)$.

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